

DEPARTMENT OF CORRECTIONS

	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Operating Expenditures:					
State General Fund	\$ 366,272,504	\$ 464,275,870	\$ 401,382,440	\$ 477,119,682	\$ 383,407,736
Other Funds	31,024,785	34,512,415	34,512,415	33,104,253	33,602,787
<i>Subtotal</i>	<i>\$ 397,297,289</i>	<i>\$ 498,788,285</i>	<i>\$ 435,894,855</i>	<i>\$ 510,223,935</i>	<i>\$ 417,010,523</i>
Capital Improvements:					
State General Fund	\$ 866,038	\$ 495,000	\$ 6,584,218	\$ 0	\$ 7,208,190
Other Funds	9,482,566	15,063,406	13,563,406	6,082,000	8,082,000
<i>Subtotal</i>	<i>\$ 10,348,604</i>	<i>\$ 15,558,406</i>	<i>\$ 20,147,624</i>	<i>\$ 6,082,000</i>	<i>\$ 15,290,190</i>
TOTAL	\$ 407,645,893	\$ 514,346,691	\$ 456,042,479	\$ 516,305,935	\$ 432,300,713
Percentage Change:					
Operating Expenditures					
State General Fund	5.2 %	26.8 %	9.6 %	2.8 %	(4.5) %
All Funds	5.4	25.5	9.7	2.3	(4.3)
FTE Positions	3,564.3	3,438.0	3,438.0	3,376.5	3,320.1

About This Analysis. For purposes of this analysis, full-time equivalent (FTE) positions now include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

AGENCY OVERVIEW

The Kansas Department of Corrections (DOC) provides supervision to adult felony offenders in the state, including inmates incarcerated in one of the eight correctional facilities, post-release supervision (parole), and community-based supervision (probation and treatment-oriented sentencing). The system consists of the DOC Central Office, eight adult correctional facilities, and the Kansas Juvenile Corrections Complex. While all components are independent agencies, the system structure allows for some commonly utilized items to be budgeted in a central location (e.g., the inmate health care contract). In FY 2014, the DOC added two juvenile correctional facilities into its system and abolished the Juvenile Justice Authority (JJA). The annual appropriations bill grants the Secretary of Corrections the authority to transfer State General Fund dollars between the facilities and the Central Office. In 2017, the Larned Juvenile Correctional Complex was closed. All youth housed at the facility were transferred to the Kansas Juvenile Correctional Complex.

MAJOR ISSUES FROM PRIOR YEARS

The **2013 Legislature** approved Executive Reorganization Order (ERO) No. 42, which abolished the Juvenile Justice Authority (JJA) and moved all functions, duties, and the commissioner of the JJA into the Department of Corrections underneath the Secretary of Corrections. ERO 42 added \$63.8 million, including \$48.8 million from the State General Fund, and 18.0 FTE positions for FY 2014.

The **2016 Legislature** passed SB 367 and HB 2447; both were signed by the Governor. SB 367 changed the law in several ways, one of which was creating the Kansas Juvenile Justice Improvement Fund. The Fund is to be used for the development and implementation of evidence-based community programs and practices for juvenile offenders and their families by community supervision offices, including juvenile intake and assessment, court services, and community corrections. On or before June 30 of each year, the Secretary of Corrections must determine and certify to the Director of Accounts and Reports the amount in each account of the State General Fund of a state agency the Secretary has determined is an actual or projected cost savings due to cost avoidance from decreased reliance on incarceration in a juvenile correctional facility or youth residential center, with a baseline calculated on the cost of incarceration and placement in FY 2015. HB 2447 increased the maximum number of days an inmate's sentence may be shortened for earning program credits from 90 to 120 days. The Kansas Sentencing Commission estimated HB 2447 will save 115 prison beds in FY 2018.

The **2017 Legislature** added language allowing the agency to enter into a lease-purchase agreement for the demolition, design, and construction of a new facility at Lansing Correctional Facility (LCF), or, if more cost-effective, allow the agency to bond with the Kansas Development Finance Authority to demolish, design, and construct a correctional institution at LCF, capping expenditures related to the project at \$155.0 million. The Legislature passed SB 42, which deleted the Kansas Juvenile Justice Improvement Fund and replaced it with State General Fund account named the Evidence Based Juvenile Program Account.

In **2018**, the State Finance Council approved plans for the agency to enter into a lease-purchase agreement for the demolition, design, and construction of a new facility at LCF.

The **2018 Legislature** passed SB 328, which requires prior legislative authorization for any state agency to enter into any agreement or take any action to outsource or privatize security operations of any correctional or juvenile correctional facility operated by a state agency. The bill applies to security operations or job classifications and duties associated with a security operation of correctional or juvenile correctional facilities.

The **2019 Legislature** passed HB 2290, which established the Kansas Criminal Justice Reform Commission to address issues involving the Kansas criminal justice system, such as sentencing guidelines, evidence-based offender programming, information data systems, and DOC policies regarding geriatric, healthcare, and substance abuse treatment. The Legislature added \$6.0 million for evidence-based programs in FY 2019. The Legislature added \$4.5 million for hepatitis C treatment and \$11.6 million for correctional officer salary adjustments for FY 2020. The Legislature also added \$16.5 million for inmate outsourcing to non-state facilities, with \$11.0 million pending approval of the State Finance Council, for FY 2020.

BUDGET SUMMARY AND KEY POINTS

FY 2020 – Current Year. The **agencies** estimate FY 2020 total revised expenditures for the entire **DOC System** of \$514.3 million, including \$464.8 million from the State General Fund. The revised estimate is an all funds increase \$19.6 million, or 4.0 percent, and a State General Fund increase of \$13.0 million, or 2.9 percent, above the FY 2020 approved amount. The revised estimate includes 3,438.0 FTE positions, which is a decrease of 132.3 FTE positions, or 3.7 percent, below the approved number. The position decrease is mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2020 revised estimate includes systemwide operating expenditures of \$498.8 million, including \$464.3 million from the State General Fund. The revised estimate is an all

funds increase of \$14.1 million, or 2.9 percent, and a State General Fund increase of \$13.0 million, or 2.9 percent, above the approved amount. The increase is attributable to increased expenditures for salaries and wages (\$14.9 million); contractual services, including evidence-based juvenile programs (\$8.1 million); and capital outlay, including security equipment (\$927,105). The increase is partially offset by a decrease in state aid to local units of government and local assistance (\$11.5 million). The revised estimate includes supplemental requests totaling \$14.5 million, all from the State General Fund, primarily for salaries and wages shrinkage reduction (\$12.5 million).

The FY 2020 revised estimate includes systemwide capital improvements expenditures of \$15.6 million, including \$495,000 from the State General Fund. This is an all funds increase of \$5.5 million, or 54.7 percent, all from special revenue funds, above the approved amount. The increase is mainly attributable to improvements at the Kansas Juvenile Justice Complex (\$1.1 million) and the Hutchinson Correctional Facility (\$1.3 million).

The **agency** estimates FY 2020 total revised expenditures for the **DOC Central Office** of \$295.1 million, including \$252.3 million from the State General Fund. The revised estimate is an all funds increase of \$7.5 million, or 2.6 percent, and a State General Fund increase of \$5.9 million, or 2.4 percent, above the approved amount. The revised estimate includes 507.0 FTE positions, which is an increase of 28.3 FTE positions, or 5.9 percent, above the approved number. The position increase is mainly within Offender Programs.

The FY 2020 revised estimate includes operating expenditures for the DOC Central Office of \$284.4 million, including \$251.8 million from the State General Fund. The revised estimate is an all funds increase of \$6.9 million, or 2.5 percent, and a State General Fund increase of \$5.9 million, or 2.4 percent, above the approved amount. The increase is attributable to increased expenditures for contractual services, including evidence-based juvenile programs (\$8.1 million), and inmate outsourcing to non-state facilities (\$5.5 million), an expenditure originally appropriated to El Dorado Correctional Facility but reallocated to the Central Office to consolidate systemwide inmate outsourcing. The increase also includes expenditures for capital outlay, including security equipment (\$927,105). The increase is partially offset by a decrease in state aid to local units of government and local assistance (\$11.5 million). The revised estimate includes supplemental requests for server and network infrastructure improvements (\$645,000) and Office of Information Technology Services fees (\$427,980).

The FY 2020 revised estimate includes capital improvements expenditures for the DOC Central Office of \$10.7 million, including \$495,000 from the State General Fund. This is an all funds increase of \$638,200, or 6.3 percent, all from special revenue funds, above the approved amount.

The **agencies** estimate FY 2020 total revised expenditures for the **DOC facilities** of \$219.3 million, including \$212.5 million from the State General Fund. The revised estimate is an all funds increase of \$11.9 million, or 5.7 percent, and a State General Fund increase of \$6.9 million, or 3.4 percent, above the FY 2020 approved amount. The revised estimate includes 2,931.0 FTE positions, which is a decrease of 160.5 FTE positions, or 5.2 percent, below the approved number. The position decrease is mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2020 revised estimate includes operating expenditures for the DOC facilities of \$214.4 million, including \$212.5 million from the State General Fund. The revised estimate is an all funds increase of \$7.0 million, or 3.4 percent, and a State General Fund increase of \$6.9 million, or 3.4 percent, above the approved amount. The increase is attributable to increased expenditures for salaries and wages (\$12.7 million), partially offset by decreased contractual

services for inmate outsourcing to non-state facilities (\$5.5 million), an expenditure originally appropriated to El Dorado Correctional Facility but reallocated to the Central Office to consolidate systemwide outsourcing.

The FY 2020 revised estimate includes capital improvements expenditures for the DOC facilities of \$4.9 million, all from special revenue funds. This is an increase of 100.0 percent as the Secretary of Corrections is authorized to transfer moneys from the Central Office to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends FY 2020 total expenditures for the entire **DOC System** of \$456.0 million, including \$408.0 million from the State General Fund. The recommendation is an all funds decrease of \$58.3 million, or 11.3 percent, and a State General Fund decrease of \$56.8 million, or 12.2 percent, below the agencies' FY 2020 revised estimate. The recommendation includes operating expenditures for the DOC System of \$435.9 million, including \$401.4 million from the State General Fund. The recommendation is an all funds decrease of \$62.9 million, or 12.6 percent, and a State General Fund decrease of \$62.9 million, or 13.5 percent, below the agencies' FY 2020 revised estimate. The decrease is mainly due to the Governor not recommending several agency supplemental requests, including a shrinkage rate reduction (\$12.4 million). The decrease is also due to the Governor's recommendation to reduce expenditures from the Evidence-Based Juvenile Programs Account (\$42.3 million). The recommendation includes 3,438.0 FTE positions, which is unchanged from the agencies' FY 2020 revised estimate.

The Governor's recommendation includes systemwide capital improvement expenditures of \$20.1 million, including \$6.6 million from the State General Fund. The recommendation is an all funds increase of \$4.6 million, or 29.5 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agencies' FY 2020 revised estimate. The increase is attributable to the first year of expenditures totaling \$6.1 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse center at the Winfield Correctional Facility.

The **Governor** recommends FY 2020 total expenditures for the **DOC Central Office** of \$236.8 million, including \$196.5 million from the State General Fund. The recommendation is an all funds decrease of \$58.3 million, or 19.8 percent, and a State General Fund decrease of \$56.8 million, or 22.5 percent, below the agency's FY 2020 revised estimate. The recommendation includes operating expenditures for the DOC Central Office of \$221.5 million, including \$188.9 million from the State General Fund. The recommendation is a decrease of \$62.9 million, or 22.1 percent, all from the State General Fund, below the FY 2020 revised estimate. The recommendation includes 507.0 FTE positions, which is unchanged from the agency's FY 2020 revised estimate. The Governor's recommendation includes the following adjustments:

- A decrease of \$14.5 million, all from the State General Fund, due to the Governor not recommending the agency's supplemental requests;
- A decrease of \$42.3 million, all from the State General Fund, in expenditures from the Evidence-Based Juvenile Programs Account to align with expenditures approved by the Juvenile Justice Oversight Committee at the time of the agency's budget submission;
- A decrease of \$6.3 million, all from the State General Fund, for inmate outsourcing to non-state facilities due to lower-than-anticipated expenditures; and

- An increase of \$250,000, all from the State General Fund, for payments to local jails for the purposes of housing parole violators.

The Governor's recommendation includes DOC Central Office capital improvement expenditures of \$15.3 million, including \$6.6 million from the State General Fund. This is an all funds increase of \$5.2 million, or 52.0 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agency's FY 2020 revised estimate. The increase is attributable to the first year of expenditures for expansion projects at the Lansing Correctional Facility and the Winfield Correctional Facility (\$6.1 million).

The **Governor** concurs with the **DOC facilities'** FY 2020 revised estimates.

FY 2021 – Budget Year. The **agencies** request FY 2021 total expenditures for the entire **DOC System** of \$516.3 million, including \$477.1 million from the State General Fund. The request is an all funds increase of \$2.0 million, or 0.4 percent, and State General Fund increase of \$12.3 million, or 2.7 percent, above the FY 2020 revised estimate. The request includes 3,376.5 FTE positions, which is a decrease of 61.5 FTE positions, or 1.8 percent, below the number in the FY 2020 revised estimate. The position decrease is mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2021 request includes systemwide operating expenditures of \$510.2 million, including \$477.1 million from the State General Fund. The request is an all funds increase of \$11.4 million, or 2.3 percent, and a State General Fund increase of \$12.8 million, or 2.8 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for health care and hepatitis C Treatment (\$7.7 million), offender data management software (\$17.5 million), pay increases for parole officers and team counselors (\$4.8 million), and Office of Information Technology Services fees (\$2.7 million). The increase is also attributable to the first full-year lease payment for the Lansing Correctional Facility totaling \$15.1 million, which is an increase (\$8.3 million) above the FY 2020 partial payment. The increase is partially offset by the lack of reappropriated State General Fund moneys in FY 2021 (\$37.2 million) and a decrease in operating expenditures (\$7.9 million) in the Lansing Correctional Facility due to efficiencies at the new facility. In total, the agency is requesting enhancements of \$55.4 million, all from the State General Fund, which is primarily for the requests mentioned above, but also includes the transfer in KPERs classification for parole officers and juvenile correctional officers (\$1.6 million), vehicle replacement (\$1.3 million), and full funding of the food service contract (\$866,204).

The FY 2021 request includes systemwide capital improvements expenditures of \$6.1 million, all from special revenue funds. This is an all funds decrease of \$9.5 million, or 60.9 percent, and a State General Fund decrease of \$495,000, or 100.0 percent, below the FY 2020 revised estimate.

The **agency** requests FY 2021 total expenditures for the **DOC Central Office** of \$309.0 million, including \$271.6 million from the State General Fund. The request is an all funds increase of \$13.9 million, or 4.7 percent, and a State General Fund increase of \$19.4 million, or 7.7 percent, above the FY 2020 revised estimate. The request includes 543.0 FTE positions, which is an increase of 36.0 FTE positions, or 7.1 percent, above the FY 2020 revised estimate. The position increases are mainly among Unit Team Counselors and Victims Services Staff assigned to facilities.

The FY 2021 request includes operating expenditures for the DOC Central Office of \$302.9 million, including \$271.6 million from the State General Fund. The request is an all funds increase of \$18.5 million, or 6.5 percent, and a State General Fund increase of \$19.9 million, or 7.9 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for inmate health care and hepatitis C treatment (\$7.7 million), offender management software (\$17.5 million), pay increases for parole and unit team counselors (\$4.8 million), and Office of Information Technology Services fees (\$2.7 million). The increase is also attributable to the first full-year lease payment for the Lansing Correctional Facility totaling \$15.1 million, which is an increase (\$8.3 million) above partial payment in FY 2020. The increase is offset by the lack of reappropriated State General Fund monies in FY 2021 (\$35.0 million). In total, the agency is requesting enhancements of \$55.4 million, all from the State General Fund, which is primarily for the requests mentioned above, but also includes the transfer in KPERS classification for parole officers and juvenile correctional officers (\$1.6 million), vehicle replacement (\$1.3 million), and full funding of the food service contract (\$866,204).

The FY 2021 request includes capital improvements expenditures for the Central Office of \$6.1 million, all from special revenue funds. This is an all funds decrease \$4.1 million, or 40.4 percent, and a State General Fund decrease of \$495,000, or 100.0 percent, below the FY 2020 revised estimate.

The **agencies** request FY 2021 total expenditure for the **DOC facilities** of \$207.3 million, including \$205.5 million from the State General Fund. The request is an all funds decrease of \$12.0 million, or 5.5 percent, and a State General Fund decrease of \$7.0 million, or 3.3 percent, below the FY 2020 revised estimate. The request includes 2,833.5 FTE positions, which is a decrease of 97.5 FTE positions, or 3.3 percent, below the FY 2020 revised estimate. The decrease is mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2021 request includes operating expenditures for the DOC facilities of \$207.3 million, including \$205.5 million from the State General Fund. The request is an all funds decrease of \$7.0 million, or 3.3 percent, and a State General Fund decrease of \$7.0 million, or 3.3 percent, below the FY 2020 revised estimate. The decrease is attributable to decreased salaries and wages (\$6.0 million), mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2021 request does not include capital improvements expenditures for the DOC facilities. Capital improvements are planned at the Central Office and the Secretary of Corrections is authorized to transfer moneys to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends FY 2021 total expenditures for the entire **DOC System** of \$432.3 million, including \$390.6 million from the State General Fund. The recommendation is an all funds decrease of \$84.0 million, or 16.3 percent, and a State General Fund decrease of \$86.5 million, or 18.1 percent, below the agencies' FY 2021 request. The recommendation includes operating expenditures for the DOC System of \$417.0 million, including \$383.4 million from the State General Fund. The recommendation is an all funds decrease of \$93.2 million, or 18.3 percent, and a State General Fund decrease of \$93.7 million, or 19.6 percent, below the agency's FY 2021 request. The decrease is mainly due to the Governor's recommendation that all juvenile components be reorganized into the newly created Department of Human Services (DHS), as part of the Governor's Executive Reorganization Order (ERO) (\$39.6 million). The decrease is also due to the Governor not recommending several of the agencies' enhancement requests. The recommendation includes 3,320.1 FTE positions, which is a decrease of 56.4

FTE positions below the agency's FY 2021 request. The decrease is mainly at the DOC Central Office and attributable to the relocation of the Juvenile Services program as part of the ERO.

The Governor's FY 2021 recommendation includes systemwide capital improvement expenditures of \$15.3 million, including \$7.2 million from the State General Fund. The recommendation is an increase of \$9.2 million, or 151.4 percent, all from special revenue funds, above the agency's FY 2021 request. The increase is mainly due to the second year of expenditures totaling \$7.7 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends FY 2021 total expenditures for the **DOC Central Office** of \$226.1 million, including \$186.2 million from the State General Fund. The recommendation is an all funds decrease of \$82.9 million, or 26.8 percent, and a State General Fund decrease of \$85.4 million, or 31.4 percent, below the agency's FY 2021 request. The FY 2021 recommendation includes operating expenditures for the DOC Central Office of \$210.8 million, including \$179.0 million from the State General Fund. The recommendation is an all funds decrease of \$92.1 million, or 30.4 percent, and a State General Fund decrease of \$92.6 million, or 34.1 percent, below the agency's FY 2021 request. The decrease is mainly due to the Governor's recommendation that the Juvenile Services program be reorganized into the newly created DHS, as part of the Governor's ERO (\$39.6 million). The decrease is also due to the Governor not recommending several of the agencies enhancement requests. The recommendation includes 484.6 FTE positions, which is a decrease of 58.4 FTE positions below the agency's FY 2021 request. The decrease is mainly due to the relocation of 22.4 FTE positions in the Juvenile Services program as part of the ERO. The Governor's recommendation includes the following adjustments from the agency's request:

- A decrease of \$9.9 million from the agency's request for a shrinkage rate reduction, all from the State General Fund, allowing for the retention of \$5.0 million in the shrinkage rate reduction to be distributed systemwide based on the needs of each facility;
- A decrease of \$4.5 million, all from the State General Fund, due to lower-than-anticipated expenditures for inmate outsourcing to non-state facilities (\$2.6 million) and housing of adult female inmates at the Kansas Juvenile Correctional Complex (KJCC) (\$2.8 million);
- A decrease of \$1.7 million, all from the State General Fund, for Office of Information Technology Services fees, allowing the retention of \$915,804 for data center as a service;
- An increase of \$750,000, all from the State General Fund, for payments to local jails for the purposes of housing parole violators;
- An increase of \$160,000, all from the State General Fund, for the addition of 2.0 FTE positions for substance abuse recovery within the Offender Program;
- A shift of \$1.8 million to support the agency's enhancement requests for the food service contract (\$866,204) and safety and security equipment (\$927,105). The recommendation changes the funding source from the State General Fund to Kansas Correctional Industries Fund; and

- A shift of \$1.4 million to support the agency's enhancement request to replace 62 vehicles (\$1.3 million) and purchase video conferencing equipment for parole officers (\$32,358). The recommendation would change the funding source from the State General Fund to General Fees Fund using a transfer from the Office of the Attorney General derived from the Equifax data breach settlement.

The Governor's FY 2021 recommendation includes DOC Central Office capital improvement expenditures of \$15.3 million, including \$7.2 million from the State General Fund. This is an all funds increase of \$9.2 million, or 151.4 percent, and a State General Fund increase of \$7.2 million, or 100.0 percent, above the agency's FY 2021 request. The increase is mainly due to the second year of expenditures for the substance abuse treatment center at the Lansing Correctional Facility and the geriatric and substance abuse treatment center at the Winfield Correctional Facility (\$7.2 million). These expansion projects are financed from lower-than-anticipated expenditures for inmate outsourcing to non-state facility and housing female inmates at KJCC (\$4.5 million) and additional moneys from the State General Fund (\$2.7 million)

The **Governor** concurs with the **DOC facilities'** FY 2020 request. However, the Governor recommends that oversight of the Kansas Juvenile Correctional Complex be transferred to the newly created DHS, as part of the Governor's ERO.

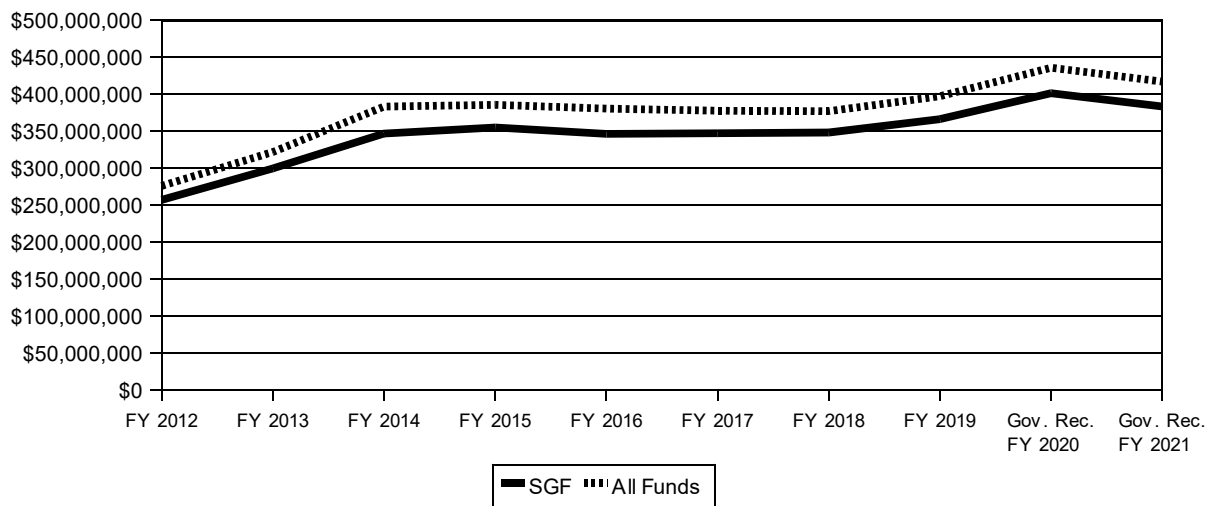
PERFORMANCE MEASURES

The 2016 Legislature passed HB 2739, which outlined a three-year process for state agencies to develop and implement a system of performance budgeting using outcome measures to evaluate program effectiveness. Measures to evaluate agency-wide performance are presented below. Additional measures to evaluate specific programs appear in the relevant program sections.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Offenders under Parole Supervision Returned to Prison for Condition Violations	1,142	1,104	1,162	1,090	1,200	1,200
Number of Meals Served to Offenders (In Millions)	10.4	10.1	10.3	10.3	10.1	10.3
Number of Inmates Treated for Hepatitis C	N/A	44	N/A	110	567	605
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 377.6	\$ 386.5	\$ 421.3	\$ 407.6	\$ 456.0	\$ 432.3
FTE Positions	3,669.5	3,654.3	3,562.3	3,564.2	3,438.0	3,320.1

BUDGET TRENDS

OPERATING EXPENDITURES FY 2012 – FY 2021



OPERATING EXPENDITURES FY 2012 – FY 2021

Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2012	\$ 256,993,688	21.2 %	\$ 275,770,501	(0.4)%	3,013.5
2013	299,408,983	16.5	321,795,284	16.7	3,496.5
2014	346,709,721	15.8	383,248,969	19.1	3,496.0
2015	354,863,864	2.4	385,648,455	0.6	3,688.5
2016	346,331,858	(2.4)	380,489,595	(1.3)	3,669.5
2017	347,179,899	0.2	377,608,250	(0.8)	3,669.5
2018	348,182,560	0.3	376,985,089	(0.2)	3,654.3
2019	366,272,504	5.2	397,297,289	5.4	3,564.3
2020 Gov. Rec.	401,382,440	9.6	435,894,855	9.7	3,438.0
2021 Gov. Rec.	383,407,736	(4.5)	417,010,523	(4.3)	3,320.1
Ten-Year Change Dollars/Percent	\$ 126,414,048	49.2 %	\$ 141,240,022	51.2 %	306.6

Summary of DOC System Operating Budget FY 2019 - FY 2021

Department of Corrections

	Actual FY 2019	Agency Estimate				Governor's Recommendation			
		Estimate FY 2020	Request FY 2021	Dollar Change from FY 20	Percent Change from FY 20	Rec. FY 2020	Rec. FY 2021	Dollar Change from FY 20	Percent Change from FY 20
By Program:									
Central Office	\$ 196,523,724	\$ 284,382,457	\$ 302,904,429	\$ 18,521,972	6.5 %	\$ 221,489,027	\$ 210,807,864	\$ (10,681,163)	(4.8)%
Ellsworth CF	15,526,041	17,134,573	16,943,692	(190,881)	(1.1)	17,134,573	16,943,692	(190,881)	(1.1)
El Dorado CF	32,842,100	34,123,049	33,973,579	(149,470)	(0.4)	34,123,049	33,973,579	(149,470)	(0.4)
Hutchinson CF	34,936,428	36,852,450	36,799,956	(52,494)	(0.1)	36,852,450	36,799,956	(52,494)	(0.1)
Kansas Juvenile CC	19,367,017	21,071,065	21,146,621	75,556	0.4	21,071,065	21,146,621	75,556	0.4
Lansing CF	37,676,712	39,834,241	31,982,653	(7,851,588)	(19.7)	39,834,241	31,982,653	(7,851,588)	(19.7)
Larned CF	11,934,852	13,004,912	12,998,466	(6,446)	(0.0)	13,004,912	12,998,466	(6,446)	(0.0)
Norton CF	17,436,025	18,759,457	18,675,337	(84,120)	(0.4)	18,759,457	18,675,337	(84,120)	(0.4)
Topeka CF	16,959,328	18,073,875	19,262,242	1,188,367	6.6	18,073,875	18,145,395	71,520	0.4
Winfield CF	14,095,062	15,552,206	15,536,960	(15,246)	(0.1)	15,552,206	15,536,960	(15,246)	(0.1)
TOTAL	\$ 397,297,289	\$ 498,788,285	\$ 510,223,935	\$ 11,435,650	2.3 %	\$ 435,894,855	\$ 417,010,523	\$ (18,884,332)	(4.3)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 199,711,479	\$ 228,298,229	\$ 233,155,663	\$ 4,857,434	2.1 %	\$ 215,809,242	\$ 212,468,617	\$ (3,340,625)	(1.5)%
Contractual Services	135,133,741	162,928,515	191,691,658	28,763,143	17.7	156,411,317	162,961,857	6,550,540	4.2
Commodities	14,513,933	15,693,368	15,098,012	(595,356)	(3.8)	15,693,368	15,034,804	(658,564)	(4.2)
Capital Outlay	2,838,003	3,724,903	21,471,154	17,746,251	476.4	2,152,798	3,631,763	1,478,965	68.7
Debt Service	437,035	210,388	0	(210,388)	(100.0)	210,388	0	(210,388)	(100.0)
<i>Subtotal - Operations</i>	<i>\$ 352,634,191</i>	<i>\$ 410,855,403</i>	<i>\$ 461,416,487</i>	<i>\$ 50,561,084</i>	<i>12.3 %</i>	<i>\$ 390,277,113</i>	<i>\$ 394,097,041</i>	<i>\$ 3,819,928</i>	<i>1.0 %</i>
Aid to Local Units	42,365,705	86,842,428	47,730,994	(39,111,434)	(45.0)	44,527,288	21,891,277	(22,636,011)	(50.8)
Other Assistance	2,297,393	1,090,454	1,076,454	(14,000)	(1.3)	1,090,454	1,022,205	(68,249)	(6.3)
TOTAL	\$ 397,297,289	\$ 498,788,285	\$ 510,223,935	\$ 11,435,650	2.3 %	\$ 435,894,855	\$ 417,010,523	\$ (18,884,332)	(4.3)%
Financing:									
State General Fund	\$ 366,272,504	\$ 464,275,870	\$ 477,119,682	\$ 12,843,812	2.8 %	\$ 401,382,440	\$ 383,407,736	\$ (17,974,704)	(4.5)%
Federal Funds	4,596,286	3,382,947	3,332,486	(50,461)	(1.5)	3,382,947	2,854,618	(528,329)	(15.6)
Correctional Industries	10,610,525	12,185,828	12,131,261	(54,567)	(0.4)	12,185,828	12,131,261	(54,567)	(0.4)
All Other Funds	15,817,974	18,943,640	17,640,506	(1,303,134)	(6.9)	18,943,640	18,616,908	(326,732)	(1.7)
TOTAL	\$ 397,297,289	\$ 498,788,285	\$ 510,223,935	\$ 11,435,650	2.3 %	\$ 435,894,855	\$ 417,010,523	\$ (18,884,332)	(4.3)%

BUDGET OVERVIEW

A. FY 2020 – Current Year

Adjustments to Approved State General Fund Budget

The 2019 Legislature approved a State General Fund budget of \$414,574,979 for the Department of Corrections and facilities in FY 2020. One adjustment has been made subsequently to that amount. This adjustment changes the current year approved amount without any legislative action required. For this agency, the following adjustment has been made:

- An increase of \$37,175,034, based on the reappropriation of FY 2019 funding that was not spent in FY 2019 and has shifted to FY 2020.

This adjustment changes the FY 2020 approved State General Fund amount to \$451,750,013. That amount is reflected in the table below as the currently approved FY 2020 State General Fund amount.

	CHANGE FROM APPROVED BUDGET				
	Legislative Approved FY 2020	Agency Estimate FY 2020	Agency Change from Approved	Governor Rec. FY 2020	Governor Change from Approved
State General Fund	\$ 451,750,013	\$ 464,770,870	\$ 13,020,857	\$ 407,966,658	\$ (43,783,355)
All Other Funds	43,034,769	49,575,821	6,541,052	48,075,821	5,041,052
TOTAL	\$ 494,784,782	\$ 514,346,691	\$ 19,561,909	\$ 456,042,479	\$ (38,742,303)
FTE Positions	3,570.3	3,438.0	(132.3)	3,438.0	(132.3)

The **agencies** estimate total FY 2020 expenditures for the **DOC System**, including capital improvements, of \$514.3 million, including \$464.8 million from the State General Fund. This is an all funds increase \$19.6 million, or 4.0 percent, and State General Fund increase of \$13.0 million, or 2.9 percent, above the FY 2020 approved amount. The revised estimate includes 3,438.0 FTE positions, which is a decrease of 132.3 FTE positions below the approved number.

The **agency** estimates FY 2020 total revised expenditures for the **DOC Central Office** of \$295.1 million, including \$252.3 million from the State General Fund. This is an all funds increase \$7.5 million, or 2.6 percent, and a State General Fund increase of \$5.9 million, or 2.4 percent, above the approved amount. The FY 2020 revised estimate includes operating expenditures for the DOC Central Office of \$284.4 million, including \$251.8 million from the State General Fund. This is an all funds increase of \$6.9 million, or 2.5 percent, and a State General Fund increase of \$5.9 million, or 2.4 percent, above the approved amount. The increase is attributable to increased expenditures for contractual services, including evidence-based juvenile programs (\$8.1 million), and inmate outsourcing to non-state facilities (\$5.5 million), an expenditure originally appropriated to the El Dorado Correctional Facility but reallocated to the Central Office to consolidate systemwide inmate outsourcing. The increase also includes expenditures for capital outlay including security equipment (\$927,105). The

increase is partially offset by a decrease in state aid to local units of government and local assistance (\$11.5 million). The revised estimate includes supplemental requests totaling \$14.5 million, all from the State General Fund, primarily for a salaries and wages shrinkage reduction (\$12.5 million). The revised estimate also includes 507.0 FTE positions, which is an increase of 28.3 FTE positions, or 5.9 percent, above the approved number. The increase is mainly in Offender Programs and primarily for sex offender treatment upon reentry into the community. Major changes by category of expenditure are outlined below:

- **Salaries and Wages.** The agency estimates FY 2020 revised salaries and wages expenditures for the Central Office of \$46.5 million, including \$39.8 million from the State General Fund. The revised estimate is an all funds increase of \$2.1 million, or 4.7 percent, and a State General Fund increase of \$632,465, or 1.6 percent, above the approved amount. The increase is attributable to increased expenditures for the offenders program (\$2.6 million) that includes new positions for sex abuse treatment and reentry programs, offset by a decrease in administration salaries and wages expenditures (\$10.6 million). The majority of the FY 2020 salary and wage adjustments were originally appropriated to the Central Office and distributed out to the facilities. The increase is further attributable to a supplemental request for a salaries and wages shrinkage reduction (\$12.5 million);
- **Contractual Services.** The agency estimates FY 2020 revised contractual services expenditures for the Central Office of \$140.7 million, including \$126.6 million from the State General Fund. The revised estimate is an all funds increase of \$14.8 million, or 11.8 percent, and a State General Fund increase of \$14.0 million, or 12.5 percent, above the approved amount. The increase is attributable to increased expenditures for evidence-based juvenile programs (\$8.1 million), such as juvenile crisis intervention centers, functional family therapy, and youth offender information management software. The increase includes \$5.5 million for outsourcing male inmates to non-state facilities, including county jails in Kansas (130 beds) and a private prison in Eloy, Arizona (360 beds), to relieve overcrowding within the DOC system. These funds were appropriated to the El Dorado Correctional Facility, but reallocated to the Central Office to consolidate systemwide inmate outsourcing;
- **Commodities.** The agency estimates FY 2020 commodities expenditures for the Central Office of \$5.6 million, including \$297,288 from the State General Fund. The revised estimate is an all funds decrease of \$1.1 million, or 16.1 percent, and a State General Fund decrease of \$519,536, or 63.6 percent, below the approved amount. The decrease is attributable to a decrease in expenditures for supplies and fuel at the Central Office, and manufacturing material in the Kansas Correctional Industries program;
- **Capital Outlay.** The agency estimates FY 2020 total revised capital outlay expenditures for the Central Office of \$3.5 million, including \$2.0 million from the State General Fund. The revised estimate is an all funds increase of \$2.5 million, or 260.5 percent, and a State General Fund increase of \$1.8 million, or 747.3 percent, above the approved amount. The increase is attributable to systemwide supplemental requests for the purchase of safety and security equipment (\$927,105), server and network infrastructure replacement (\$645,000), and Desktop as a Service leasing fees (\$427,980);

- **Debt Service.** The agency estimates FY 2020 total revised debt service interest for the Central Office of \$210,388, including \$22,388 from the State General Fund. The revised estimate is unchanged from the approved amount. The expenditure includes debt service interest for final payments of all bonds;
- **Aid to Local Units of Government.** The agency estimates FY 2020 total revised aid to the local units of government expenditures for the Central Office of \$86.8 million, including \$82.7 million from the State General Fund. The revised estimate is an all funds decrease of \$4.5 million, or 4.9 percent, and a State General Fund decrease of \$3.5 million, or 4.1 percent, below the approved amount. The decrease is attributable to a decrease in grants (\$100,000) to community corrections agencies for intensive supervision programs, adult residential centers, and behavioral health programs. The decrease is also attributable to a reduction in grants for evidence-based juvenile programs as a result of reallocating \$4.2 million to contractual services for the purposes of replacing juvenile offender management software. A total of \$40.5 million is budgeted for future evidence-based juvenile programs grant opportunities as recommended by the Juvenile Justice Oversight Committee; and
- **Other Assistance.** The agency estimates FY 2020 total revised other assistance expenditures for the Central Office of \$1.1 million, including \$324,249 from the State General Fund. The revised estimate is an all funds decrease of \$7.0 million, or 86.7 percent, and a State General Fund decrease of \$6.5 million, or 95.2 percent, below the approved amount. The decrease is attributable to a decrease in expenditures for out-of-home services among juvenile offenders.

The FY 2020 revised estimate includes capital improvements expenditures for the DOC Central Office of \$10.7 million, including \$495,000 from the State General Fund. This is an all funds increase of \$638,200, or 6.3 percent, all from special revenue funds, above the approved amount. The increase is mainly attributable to the construction of a Kansas Correctional Industries building (\$1.5 million) at the new Lansing Correctional Facility.

The **agencies** estimate FY 2020 revised expenditures for the **DOC facilities** of \$219.3 million, including \$212.5 million from the State General Fund. This is an all funds increase of \$11.9 million, or 5.7 percent, above the FY 2020 approved amount. The FY 2020 revised estimate includes operating expenditures for the DOC facilities of \$214.4 million, including \$212.5 million from the State General Fund. This is an all funds increase of \$7.0 million, or 3.4 percent, and a State General Fund increase of \$6.9 million, or 3.4 percent, above the approved amount. The increase is attributable to increased expenditures for salaries and wages (\$12.7 million), offset by a decrease in contractual services for inmate outsourcing to non-state facilities (\$5.5 million), an expenditure appropriated to the El Dorado Correctional Facility but reallocated to the Central Office to consolidate systemwide inmate outsourcing. The revised estimate includes 2,931.0 FTE positions, which is a decrease of 160.5 FTE positions, or 5.2 percent, below the approved number. The position decrease is mainly at the Lansing Correctional Facility, where efficiencies at the new facility allow for reduced staff.

The FY 2020 revised estimate includes capital improvement expenditures for the DOC facilities of \$4.9 million, all from special revenue funds. This is an increase of 100.0 percent as the Secretary of Corrections is authorized to transfer moneys from the Central Office to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends FY 2020 total expenditures for the entire **DOC System** of \$456.0 million, including \$408.0 million from the State General Fund. The recommendation is an all funds decrease of \$58.3 million, or 11.3 percent, and a State General Fund decrease of

\$56.8 million, or 12.2 percent, below the agencies' FY 2020 revised estimate. The recommendation includes operating expenditures for the DOC System of \$435.9 million, including \$401.4 million from the State General Fund. The recommendation is an all funds decrease of \$62.9 million, or 12.6 percent, and a State General Fund decrease of \$62.9 million, or 13.5 percent, below the agencies' FY 2020 revised estimate. The decrease is mainly due to the Governor not recommending several of the agency's supplemental requests, including a shrinkage rate reduction (\$12.4 million). The decrease is also due to the Governor's recommendation to reduce expenditures from the Evidence-Based Juvenile Programs Account (\$42.3 million). The recommendation includes 3,438.0 FTE positions, which is unchanged from the agencies' FY 2020 revised estimate.

The Governor's recommendation includes systemwide capital improvement expenditures of \$20.1 million, including \$6.6 million from the State General Fund. The recommendation is an all funds increase of \$4.6 million, or 29.5 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agency's FY 2020 revised estimate. The increase is attributable to the first year of expenditures totaling \$6.1 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends FY 2020 total expenditures for the **DOC Central Office** of \$236.8 million, including \$196.5 million from the State General Fund. The recommendation is an all funds decrease of \$58.3 million, or 19.8 percent, and a State General Fund decrease of \$56.8 million, or 22.5 percent, below the agency's FY 2020 revised estimate. The recommendation includes operating expenditures for the DOC Central Office of \$221.5 million, including \$188.9 million from the State General Fund. The recommendation is a decrease of \$62.9 million, or 22.1 percent, all from the State General Fund, below the FY 2020 revised estimate. The recommendation includes 507.0 FTE positions, which is unchanged from the agency's FY 2020 revised estimate. The Governor's recommendation includes the following adjustments from the agency's request:

- A decrease of \$14.5 million, all from the State General Fund, due to the Governor not recommending the agency's supplemental requests;
- A decrease of \$42.3 million, all from the State General Fund, in expenditures from the Evidence-Based Juvenile Programs Account to align with expenditures approved by the Juvenile Justice Oversight Committee at the time of the agency's budget submission;
- A decrease of \$6.3 million, all from the State General Fund, for inmate outsourcing to non-state facilities due to lower-than-anticipated expenditures; and
- An increase of \$250,000, all from the State General Fund, for payments to local jails for the purposes of housing parole violators.

The Governor's recommendation includes DOC Central Office capital improvement expenditures of \$15.3 million, including \$6.6 million from the State General Fund. This is an all funds increase of \$5.2 million, or 52.0 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agency's FY 2020 revised estimate. The increase is attributable to the first year of expenditures for expansion projects at the Lansing Correctional Facility and the Winfield Correctional Facility (\$6.1 million).

The **Governor** concurs with the **DOC facilities'** FY 2020 revised estimates.

Supplemental Detail

Supplementals	FY 2020 SUPPLEMENTALS					
	Agency Estimate			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Shrinkage Rate Reduction	\$ 12,488,987	\$ 12,488,987	0.0	\$ 0	\$ 0	0.0
Safety and Security Equipment	927,105	927,105	0.0	0	0	0.0
Server & Network Infrastructure	645,000	645,000	0.0	0	0	0.0
Desktop as a Service	427,980	427,980	0.0	0	0	0.0
TOTAL	\$ 14,489,072	\$ 14,489,072	0.0	\$ 0	\$ 0	0.0

The **agency** requests FY 2020 supplementals for the **DOC Central Office** of \$14.5 million, all from the State General Fund. The supplementals include a shrinkage rate reduction (\$12.5 million), safety and security equipment (\$927,105), server and network infrastructure replacement (\$645,000), and Desktop as a Service (\$427,980).

Shrinkage Rate Reduction. The agency requests \$12.5 million, all from the State General Fund, to decrease shrinkage rates at each facility. Shrinkage includes the intentional reduction of salary and wage expenditures for authorized positions to account for planned vacancies. The agency states that due to increased costs for good and services, approximately 250 positions, or 7.0 percent of authorized staffing, are left unfilled to stay within budget allocations. The amount requested would result in a systemwide shrinkage rate of 3.0 percent.

The **Governor** does not recommend this enhancement.

Safety and Security Equipment. The agency requests \$927,105, all from the State General Fund, to replace equipment utilized by staff to maintain safe operations at correctional facilities. The request is for approximately 2,100 items and includes stab vests, portable radios, metal detectors, pepper-spray weapons, firearms, and other items.

The **Governor** does not recommend this enhancement.

Server and Network Infrastructure Replacement. The agency requests \$645,000, all from the State General Fund, to begin routine replacement of systemwide data network components, including servers. The agency states the average age of a DOC server is six years, while the industry standard is replacement every three years. The DOC System is increasingly reliant on data networks to support daily operations, such as inmate containment and educational programs. The Central Office manages servers and provides connectivity to correctional facilities, parole offices, and community corrections offices throughout the state.

The **Governor** does not recommend this enhancement.

Desktop as a Service. The agency requests \$427,980, all from the State General Fund, for the consolidated procurement and management of devices, such as desktop computers, laptops, and tablets. The agency states it previously used end-of-year funds to replace equipment, but the transition to the more efficient consolidated service requires monthly lease payments. This additional funding would allow for replacement of outdated devices at correctional facilities.

The **Governor** does not recommend this enhancement.

B. FY 2021 – Budget Year

FY 2021 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 510,223,935	\$ 417,010,523	\$ (93,213,412)
FTE Positions	3,376.5	3,320.1	(56.4)
Change from FY 2020:			
<i>Dollar Change:</i>			
State General Fund	\$ 12,843,812	\$ (17,974,704)	
All Other Funds	(1,408,162)	(909,628)	
TOTAL	\$ 11,435,650	\$ (18,884,332)	
<i>Percent Change:</i>			
State General Fund	2.8 %	(4.5) %	
All Other Funds	(4.1)	(2.6)	
TOTAL	2.3 %	(4.3) %	
Change in FTE Positions	(61.5)	(117.9)	

The **agencies** request **DOC System** FY 2021 operating expenditures of \$510.2 million, including \$477.1 million from the State General Fund. The request is an all funds increase of \$11.4 million, or 2.3 percent, and a State General Fund increase of \$12.8 million, or 2.8 percent, above the FY 2020 revised estimate. The request includes 3,376.5 FTE positions, which is a decrease of 61.5 FTE positions below the FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures for the **DOC Central Office** of \$302.9 million, including \$271.6 million from the State General Fund. This is an all funds increase of \$18.5 million, or 6.5 percent, and a State General Fund increase of \$19.9 million, or 7.9 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for inmate health care and hepatitis C treatment (\$7.7 million), offender management software (\$17.5 million), pay increases for parole and unit team counselors (\$4.8 million), and Office of Information Technology Services fees (\$2.7 million). The increase is also attributable to the first full-year lease payment for the Lansing Correctional Facility totaling \$15.1 million, which is an increase (\$8.3 million) above partial payment in FY 2020. The increase is offset by the lack of reappropriated State General Fund moneys in FY 2021 (\$35.0 million). In total, the agency is requesting enhancements of \$55.4 million, all from the State General Fund, which is primarily for the requests mentioned above, but also includes the transfer in KPERs classification for parole officers and juvenile correctional officers (\$1.6 million), vehicle replacement (\$1.3 million), and full funding of the food service contract (\$866,204). The request includes 543.0 FTE positions, which is an increase of 36.0 FTE positions, or 7.1 percent, above the FY 2020 revised estimate. The position increases are mainly among Unit Team Counselors and Victims Services Staff assigned to facilities. Major changes by category of expenditure are outlined below:

- **Salaries and Wages.** The agency requests FY 2021 total salaries and wages expenditures of \$56.8 million, including \$50.1 million from the State General Fund. The request is an all funds increase of \$10.3 million, or 22.2 percent, and a State General Fund increase of \$10.3 million, or 25.8 percent, above the FY 2020 revised estimate. The increase is mainly attributable to enhancements for

parole officer and unit counselor wage adjustments (\$3.8 million), employer contributions to KPERS (\$1.7 million), shrinkage reduction (\$2.2 million), and new employees (\$2.6 million);

- **Contractual Services.** The agency requests FY 2021 total contractual services expenditures of \$170.1 million, including \$157.2 million from the State General Fund. The request is an all funds increase of \$29.4 million, or 20.9 percent, and a State General Fund increase of \$30.6 million, or 24.2 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for inmate health care and hepatitis C treatment (\$7.7 million), and Office of Information Technology Services fees (\$2.7 million). The increase is also attributed to the first full-year lease payment for the Lansing Correctional Facility totaling \$15.1, which is an increase (\$8.3 million) above partial payment in FY 2020, and expenditures for evidence-based juvenile programs (\$4.9 million);
- **Commodities:** The agency requests FY 2021 total commodities expenditures of \$5.9 million, including \$306,405 from the State General Fund. The request is an all funds increase of \$310,002, or 5.6 percent, and a State General Fund increase of \$9,117, or 3.1 percent, above the FY 2020 revised estimate. The increase is attributable to increase expenditures for computer parts (\$5,000) and gasoline (\$5,000). The increase also includes increased expenditures for manufacturing material (\$273,500) in the Kansas Correctional Industries program;
- **Capital Outlay.** The agency requests FY 2021 total capital outlay expenditures of \$21.3 million, including \$20.1 million from the State General Fund. The request is an all funds increase of \$17.8 million, or 513.7 percent, and a State General Fund increase of \$18.1 million, or 883.3 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for offender management software (\$17.5 million) and vehicle replacement (\$1.3 million);
- **Debt Service.** The agency requests FY 2021 debt service interest expenditures of \$0. The request is an all funds decrease of \$210,388, or 100.0 percent, and a State General Fund decrease of \$22,388, or 100.0 percent, below the FY 2020 revised estimate. The decrease is attributable to final debt service interest payments for all bonds occurring in FY 2020;
- **Aid to Local Units of Government.** The agency requests FY 2021 total aid to local units of government expenditures of \$47.7 million, including \$43.6 million from the State General Fund. This request is an all funds decrease of \$39.1 million, or 45.0 percent, and a State General Fund decrease of \$39.1 million, or 47.3 percent, below the FY 2020 revised estimate. The decrease is attributable to a decrease in grants for evidence-based juvenile programs due primarily to a State General Fund reappropriation occurring in FY 2020 (\$30.1 million) that is not anticipated to occur in FY 2021; and
- **Other Assistance.** The agency requests FY 2021 total other assistance expenditures of \$1.1 million, including \$324,249 from the State General Fund. The request is unchanged from the FY 2020 revised estimate. Expenditures include out-of-home placement of juvenile offenders in group homes and Medicaid assistance.

The FY 2021 request includes capital improvements expenditures for the Central Office of \$6.1 million, all from special revenue funds. This is an all funds decrease of \$4.6 million, or 43.1 percent, and a State General Fund decrease of \$495,000, or 100.0 percent, below the FY 2020 revised estimate. The decrease is primarily a decrease in expenditures from the State Institutional Building Fund and an elimination of debt service principal payments.

The **agencies** request FY 2021 operating expenditure for the **DOC facilities** of \$207.3 million, including \$205.5 million from the State General Fund. This is an all funds decrease of \$7.0 million, or 3.3 percent, and a State General Fund decrease of \$7.0 million, or 3.3 percent, below the FY 2020 revised estimate. The decrease is attributable to a decrease of salaries and wages (\$6.0 million) at the Lansing Correctional Facility, where efficiencies at the new facility allow for fewer staff. The request includes 2,833.5 FTE positions, which is a decrease of 97.5 FTE positions, or 3.3 percent, below the FY 2020 revised estimate. The position decrease is mainly at the Lansing Correctional Facility (119.0 FTE) and is the result of migration to the new facility.

The FY 2021 request does not include capital improvements expenditures for the DOC facilities. Capital improvements are planned at the Central Office and the Secretary of Corrections is authorized to transfer moneys to facilities in accordance with the five-year capital improvement plan.

The **Governor** recommends FY 2021 total expenditures for the entire **DOC System** of \$432.3 million, including \$390.6 million from the State General Fund. The recommendation is an all funds decrease of \$84.0 million, or 16.3 percent, and a State General Fund decrease of \$86.5 million, or 18.1 percent, below the agencies' FY 2021 request. The recommendation includes operating expenditures for the DOC System of \$417.0 million, including \$383.4 million from the State General Fund. The recommendation is an all funds decrease of \$93.2 million, or 18.3 percent, and a State General Fund decrease of \$93.7 million, or 19.6 percent, below the agencies' FY 2021 request. The decrease is mainly due to the Governor's recommendation that all juvenile components be reorganized into the newly created Department of Human Services (DHS), as part of the Governor's Executive Reorganization Order (ERO) (\$39.6 million). The decrease is also due to the Governor not recommending several enhancements. The recommendation includes 3,320.1 FTE positions, which is a decrease of 56.4 FTE positions below the agency's FY 2021 request. The decrease is mainly at the DOC Central Office and attributable to the reorganization of the Juvenile Services program as part of the ERO.

The Governor's FY 2021 recommendation includes systemwide capital improvement expenditures of \$15.3 million, including \$7.2 million from the State General Fund. The recommendation is an increase of \$9.2 million, or 151.4 percent, all from special revenue funds, above the agency's FY 2021 request. The increase is mainly due to the second year of expenditures totaling \$7.7 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse treatment center at the Winfield Correctional Facility.

The **Governor** recommends FY 2021 total expenditures for the **DOC Central Office** of \$226.1 million, including \$186.2 million from the State General Fund. The recommendation is an all funds decrease of \$82.9 million, or 26.8 percent, and a State General Fund decrease of \$85.4 million, or 31.4 percent, below the agency's FY 2021 request. The FY 2021 recommendation includes operating expenditures for the DOC Central Office of \$210.8 million, including \$179.0 million from the State General Fund. The recommendation is an all funds decrease of \$92.1 million, or 30.4 percent, and a State General Fund decrease of \$92.6 million, or 34.1 percent, below the agency's FY 2021 request. The decrease is mainly due to the Governor's recommendation that the Juvenile Services program be reorganized into the newly

created DHS, as part of the Governor's ERO (\$39.6 million). The decrease is also due to the Governor not recommending several of the agency's enhancement requests. The recommendation includes 484.6 FTE positions, which is a decrease of 58.4 FTE positions below the agency's FY 2021 request. The decrease is mainly due to the reorganization of 22.4 FTE positions in the Juvenile Services program as part of the ERO. The Governor's recommendation includes the following adjustments:

- A decrease of \$9.9 million for shrinkage rate reduction, all from the State General Fund, allowing for the retention of \$5.0 million in shrinkage rate reduction to be distributed systemwide based on the needs of each facility;
- A decrease of \$4.5 million, all from the State General Fund, due to lower-than-anticipated expenditures for inmate outsourcing to non-state facilities (\$2.6 million) and housing of adult female inmates at the Kansas Juvenile Correctional Complex (KJCC) (\$2.8 million);
- A decrease of \$1.7 million, all from the State General Fund, for Office of Information Technology Services fees, allowing the retention of \$915,804 for data center as a service;
- An increase of \$750,000, all from the State General Fund, for payments to local jails for the purposes of housing parole violators;
- A increase of \$160,000, all from the State General Fund, for the addition of 2.0 FTE positions for substance abuse recovery within the Offender Program;
- A shift of \$1.8 million to support the agency's enhancement requests for the food service contract (\$866,204) and safety and security equipment (\$927,105). The recommendation changes the funding source from the State General Fund to the Kansas Correctional Industries Fund; and
- A shift of \$1.3 million to support the agency's enhancement request to replace 62 vehicles (\$1.3 million) and purchase video conferencing equipment for parole officers (\$32,358). The recommendation changes the funding source from the State General Fund to General Fees Fund using a transfer from the Office of the Attorney General derived from the Equifax data breach settlement.

The Governor's FY 2021 recommendation includes DOC Central Office capital improvement expenditures of \$15.3 million, including \$7.2 million from the State General Fund. This is an all funds increase of \$9.2 million, or 151.4 percent, and a State General Fund increase of \$7.2 million, or 100.0 percent, above the agency's FY 2021 request. The increase is mainly due to the second year of expenditures for the substance abuse treatment center at the Lansing Correctional Facility and the geriatric and substance abuse treatment center at the Winfield Correctional Facility (\$7.2 million). These expansion projects are financed from lower-than-anticipated expenditures for inmate outsourcing to non-state facilities and housing female inmates at KJCC (\$4.5 million) and additional moneys from the State General Fund (\$2.7 million).

The **Governor** concurs with the **DOC facilities'** FY 2020 request. However, the Governor recommends that oversight of the Kansas Juvenile Correctional Complex be transferred to the newly created DHS, as part of the Governor's ERO.

Enhancement Detail

Enhancements	FY 2021 ENHANCEMENTS					
	Agency Estimate			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Shrinkage Rate Reduction	\$ 14,886,667	\$ 14,886,667	0.0	\$ 5,000,000	\$ 5,000,000	0.0
Safety and Security Equipment	479,178	479,178	0.0	0	927,105	0.0
Server and Network Infrastructure	645,000	645,000	0.0	0	0	0.0
Desktop as a Service	427,980	427,980	0.0	0	0	0.0
OITS Fees	2,699,151	2,699,151	0.0	915,804	915,084	0.0
Offender and Juvenile Management Software	17,500,000	17,500,000	0.0	0	0	0.0
Vehicle Replacement	1,320,000	1,320,000	0.0	0	1,320,000	0.0
Hepatitis C Treatment	3,083,112	3,083,112	0.0	0	0	0.0
Fully Fund Medical Services Contract	4,651,982	4,651,982	0.0	0	0	0.0
Pay Equity for Parole Officers	1,629,667	1,629,667	0.0	0	0	0.0
Retirement Enhancement for Parole Officers	720,000	720,000	0.0	0	0	0.0
LSI-R Fidelity Positions	390,000	390,000	4.0	0	0	0.0
Increased GPS Monitoring	200,000	200,000	0.0	0	0	0.0
Pay Equity for Unit Team Counselors	2,195,261	2,195,261	0.0	0	0	0.0
Unit Team Counselors	1,104,000	1,104,000	16.0	0	0	0.0
Substance Abuse Program Expansion	500,000	500,000	0.0	0	0	0.0
KSHOP Care Coordinators Positions	129,000	129,000	2.0	0	0	0.0
Victim Services Staff	458,036	458,036	7.0	0	0	0.0
Facility Victim Services	72,497	72,497	1.0	0	0	0.0
Hearing Officer Positions	510,868	510,868	6.0	0	0	0.0
Retirement Enhancement for Juvenile Correctional Officers	920,000	920,000	0.0	0	0	0.0
Fully Fund Food Service Contract	866,204	866,204	0.0	0	866,204	0.0
TOTAL	\$ 55,388,603	\$ 55,388,603	36.0	\$ 5,915,804	\$ 9,028,393	0.0

The **agency** requests FY 2021 enhancements for the **DOC Central Office** of \$55.4 million, all from the State General Fund. The enhancements primarily include salary adjustments, data management software, inmate health care and hepatitis C treatment, equipment, Office of Information Technology Services (OITS) fees, and new employees.

Shrinkage Rate Reduction. The agency requests \$14.9 million, all from the State General Fund, to decrease shrinkage rates at each facility. Shrinkage includes the intentional reduction of salary and wage expenditures for authorized positions to account for planned

vacancies. The agency states that due to increased costs for goods and services, approximately 250 positions, or 7.0 percent of authorized positions, are left unfilled to stay within budget allocations. The amount requested would result in a systemwide shrinkage rate of 3.0 percent.

The **Governor** recommends \$5.0 million, all from the State General Fund, for partial funding of a shrinkage rate reduction.

Safety and Security Equipment. The agency requests \$479,178, all from the State General Fund, to continue replacing equipment utilized by staff to maintain safe operations at correctional facilities. The request is for approximately 2,100 items and includes stab vests, portable radios, metal detectors, pepper-spray weapons, firearms, and other items.

The **Governor** recommends \$927,105, all from Kansas Correctional Industries Fund, for safety and security equipment. This is an increase of \$447,927 above the agency's FY 2021 request.

Server and Network Infrastructure Replacement. The agency requests \$645,000, all from the State General Fund, for continued replacement of systemwide data network components, including servers. The agency states that the average age of a DOC server is six years, while the industry standard is replacement every three years. The DOC system is increasingly reliant on data networks to support daily operations, such inmate containment and educational programs. The Central Office manages servers and provides connectivity to correctional facilities, parole offices, and community corrections offices throughout the state.

The **Governor** does not recommend this enhancement.

Desktop as a Service. The agency requests \$427,980, all from the State General Fund, for the consolidated procurement and management of devices, such as desktop computers, laptops, and tablets. The agency states it previously used end-of-year moneys to replace equipment, but the transition to the more efficient consolidated service requires monthly lease payments. This additional funding would allow for replacement of outdated devices at correctional facilities.

The **Governor** does not recommend this enhancement.

OITS Fees. The agency requests \$2.7 million, all from the State General Fund, for technology services provided by the Executive Branch's OITS. Expenditures include a Centralized Service Desk, which allows the Executive Branch to ensure timely delivery of service requests; data center as a service, which allows for consolidated data storage at federally compliant data centers; and enhanced systemwide cybersecurity. Expenditures also include the replacement of associated equipment.

The **Governor** recommends \$915,804, all from the State General Fund, for partial funding of OITS fees, primarily for the data center as a service.

Offender and Juvenile Management Software. The agency requests \$17.5 million, all from the State General Fund, to replace the adult Offender Management Information System and Juvenile Correctional Facility System software. Partially instituted in the 1970s, these software programs track a variety of functions, including offender assessments, program progress, and inmate location. New software would allow for inclusion of evidence-based programming and rehabilitative aspects, while improving processes for offender containment and data collection to better determine outcomes.

The **Governor** does not recommend this enhancement.

Vehicle Replacement. The agency requests \$1.3 million, all from the State General Fund, for the replacement of 62 vehicles utilized systemwide for inmate transport, parole officers, perimeter security, maintenance operations, and supply transport. Vehicle types include sedans, vans, pickup trucks, and utility trucks. These 62 vehicles represent 11.0 percent of the DOC System fleet, which includes 545 vehicles utilized at nine correctional facilities, Kansas Correctional Industries, parole offices, and the Central Office.

The **Governor** recommends \$1.3 million, all from the General Fees Fund, for the replacement of 62 vehicles. The recommendation is funded using a transfer from the Office of the Attorney General derived from the Equifax data breach settlement.

Hepatitis C Treatment. The agency requests \$3.1 million, all from the State General Fund, to supplement the existing \$6.0 million budgeted for treatment of inmates infected with the hepatitis C virus. The agency states that inmate populations are particularly prone to hepatitis C. New drugs emerged in 2017 with a cure rate of 90.0 percent, but treatment remains costly at \$15,000 per patient. This additional funding would allow for treating a backlog of 342 patients and 263 new admissions. In the out years, it is anticipated that 500 patients per year will require treatment.

The **Governor** does not recommend this enhancement.

Fully Fund Medical Services Contract. The agency requests an additional \$4.7 million, all from the State General Fund, to fully fund the medical services contract for constitutionally required inmate health care. The contract is estimated at \$85.8 million, which includes a 4.0 percent cost increase and a per capita increase of \$1.4 million in additional expenditures due to several facilities exceeding contract capacity.

The **Governor** does not recommend this enhancement.

Pay Equity for Parole Officers. The agency requests \$1.6 million, all from the State General Fund, to increase wages for parole officers. The agency states that while the 5.0 percent pay increase approved by the 2019 Legislature brought the parole officer starting wage up to \$18 per hour, this rate compares negatively to county correctional officers and court services officers, who receive an average of \$21 per hour. This disparity has resulted in parole officers leaving the DOC System for other entities.

The **Governor** does not recommend this enhancement.

Retirement Enhancement for Parole Officers. The agency requests \$720,000, all from the State General Fund, to transfer parole officers from the regular Kansas Public Employees Retirement System (KPERs) group to the KPERs Corrections–Group A, which includes correctional officers. The agency states parole officers perform functions comparable to correctional officers and face similar stress and risk. The transfer allows for early retirement of parole officers, which the agency considers to be a physically demanding position.

The **Governor** does not recommend this enhancement.

LSI-R Fidelity Positions. The agency requests \$390,000, all from the State General Fund, for the addition of 4.0 FTE positions to conduct quality control reviews of Level of Service Inventory-Revised (LSI-R) assessments. LSI-R is a risk/needs assessment conducted with offenders upon entry to a correctional facility or parole to identify the type of case management needed to reduce recidivism.

The **Governor** does not recommend this enhancement.

Increased GPS Monitoring. The agency requests \$200,000, all from the State General Fund, to expand the use of GPS monitoring of paroled offenders. The agency states mandatory lifetime GPS monitoring for sex offenders has resulted in fewer units being available for high risk offenders and offenders with a history of domestic violence.

The **Governor** does not recommend this enhancement.

Pay Equity for Unit Team Counselors. The agency requests \$2.2 million, all from the State General Fund, to increase unit team counselors pay by 15.0 percent. Team counselors are case managers for offenders and coordinate programs and resources. The position requires a bachelor's degree, and according to the agency, it has traditionally been viewed as a promotion in the career path of a correctional officer. Recent pay increases for correctional officers, without commensurate increases for team counselors, has created challenges in recruiting experienced individuals.

The **Governor** does not recommend this enhancement.

Unit Team Counselors. The agency requests \$1.1 million, all from the State General Fund, for the addition of 16.0 FTE positions as Unit Team Counselors at correctional facilities. Team counselors serve as offender case managers and perform a variety of risk reduction duties, including coordinating education and employment services, providing daily behavioral counseling, and supervising transition into communities. The additional positions would reduce the caseload per counselor to between 40 and 50 cases, which would increase effectiveness.

The **Governor** does not recommend this enhancement.

Substance Abuse Program Expansion. The agency requests \$500,000, all from the State General Fund, to expand the substance abuse program provided to offenders. The agency states it currently only reaches 50.0 percent of those in need of treatment. Additional funding would expand treatment for offenders and allow the agency to place greater emphasis on treatment post-release through community-based programming.

The **Governor** does not recommend this enhancement.

KSHOP Care Coordinators. The agency requests \$129,000, all from the State General Fund, for the addition of 2.0 FTE positions in the Kansas Supportive Housing for Offenders Program (KSHOP), which provides intensive case management for chronically homeless and institutionalized paroled offenders with mental illnesses.

The **Governor** does not recommend this enhancement.

Victims Services Staff. The agency requests \$458,036, all from the State General Fund, for the addition of 7.0 FTE positions in the Victim Services program to assess and enroll offenders into the Batterer Intervention Programs (BIP) prior to reentry into communities. Positions will be assigned to the Lansing Correctional Facility and parole offices in Hutchinson, Kansas City, Olathe, and Wichita. In addition, staff will conduct BIP victim notification and compile domestic violence case histories for parole officers.

The **Governor** does not recommend this enhancement.

Facilities Victims Services Staff. The agency requests \$72,497, all from the State General Fund, for the addition of 1.0 FTE position at the Central Office to supervise Victims Services Liaisons assigned to each correctional facility. The position will also provide technical assistance regarding the response and reporting of Prison Rape Elimination Act cases.

The **Governor** does not recommend this enhancement.

Hearing Officer Positions. The agency requests \$510,868, all from the State General Fund, for the addition of 6.0 FTE positions as Hearing Officers in the Prisoner Review Board program. Positions will manage preliminary revocation hearings, a function currently conducted by parole officers. Addition of these positions will allow parole officers to focus on parole supervision. The request includes hearing officer salaries and wages (\$416,192) and assigned vehicles (\$94,676).

The **Governor** does not recommend this enhancement.

Retirement Enhancement for Juvenile Correctional Officers. The agency requests \$920,000, all from the State General Fund, to transfer juvenile correctional officers from the regular KPERS group to the KPERS Corrections-Group A, which includes correctional officers for adult offenders. The agency states this change would address a benefit package inequity that exists between adult and juvenile correctional staff that perform comparable functions and face similar stress and risk. The transfer allows for early retirement of juvenile correctional officers, which the agency considers to be a physically demanding position.

The **Governor** does not recommend this enhancement.

Fully Fund Food Service Contract. The agency requests \$866,204, all from the State General Fund, to fully fund the food service contract for all adult correctional facilities. The contract is based on an average daily population of 9,443 inmates and is estimated at \$16.8 million, which includes a 2.0 percent cost increase and additional expenditures for meals prepared at the Kansas Juvenile Correctional Complex for adult female inmates housed at a nearby 120-bed unit.

The **Governor** recommends \$866,204, all from special revenue funds, to fully fund the food service contract.

Governor's Recommended Salary and Wage Adjustments

State Employee Pay Increases. The Legislature added \$41.8 million, including \$22.0 million from the State General Fund, for FY 2020 to provide salary adjustments equivalent to one step on the Statewide Pay Matrix, or approximately 2.5 percent, for employees who did not receive a salary adjustment elsewhere in the 2019 appropriations bill. For unclassified employees, the salary adjustments are distributed as a merit pool to be awarded as determined by the head of each agency. This adjustment excludes Kansas state legislators, employees of the Kansas Highway Patrol who are part of the Career Progression Plan, special agents of the Kansas Bureau of Investigation included in the Recruitment and Retention Plan, Department of Corrections employees receiving salary adjustments as part of the Correctional Officer plan, and teachers and licensed personnel and employees at the Kansas State School for the Deaf and the Kansas State School for the Blind. **For these agencies, FY 2020 salary adjustments total \$726,393, including \$611,797 from the State General Fund.**

In addition, funding of \$11.5 million from the State General Fund was included to provide a 15.9 percent salary adjustment for Correctional Officer I, I(A), II, and II(A) positions and a 5.0 percent salary adjustment for other correctional employees who routinely work with offenders for FY 2020. State General Fund moneys totaling \$400,000 was added to the Board of Indigents' Defense Services for increasing public defender salary and \$186,931 was added to Larned State Hospital to provide salary adjustments for Mental Health Developmental Disability (MHDD) technicians.

For FY 2021, the Governor recommends adding \$26.1 million, including \$11.3 million from the State General Fund, for a 2.5 percent state employee base pay adjustment. The plan will increase salaries for classified and unclassified employees in the Executive Branch. The Legislative Branch, the Judicial Branch, the Schools for the Blind and Deaf, and the state universities are not included in the proposed pay plan. The funds would be appropriated to and certified for distribution by the State Finance Council if approved.

Longevity Bonus Payments. In FY 2020 and for FY 2021, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400) and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008, are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2020 payment is \$3.3 million, including \$1.2 million from the State General Fund. For FY 2021, the estimated cost is \$3.4 million, including \$1.3 million from the State General Fund. **For these agencies, FY 2020 longevity payments total \$652,640, including \$628,944 from the State General Fund, and FY 2021 longevity payments total \$682,828, including \$657,633 from the State General Fund.**

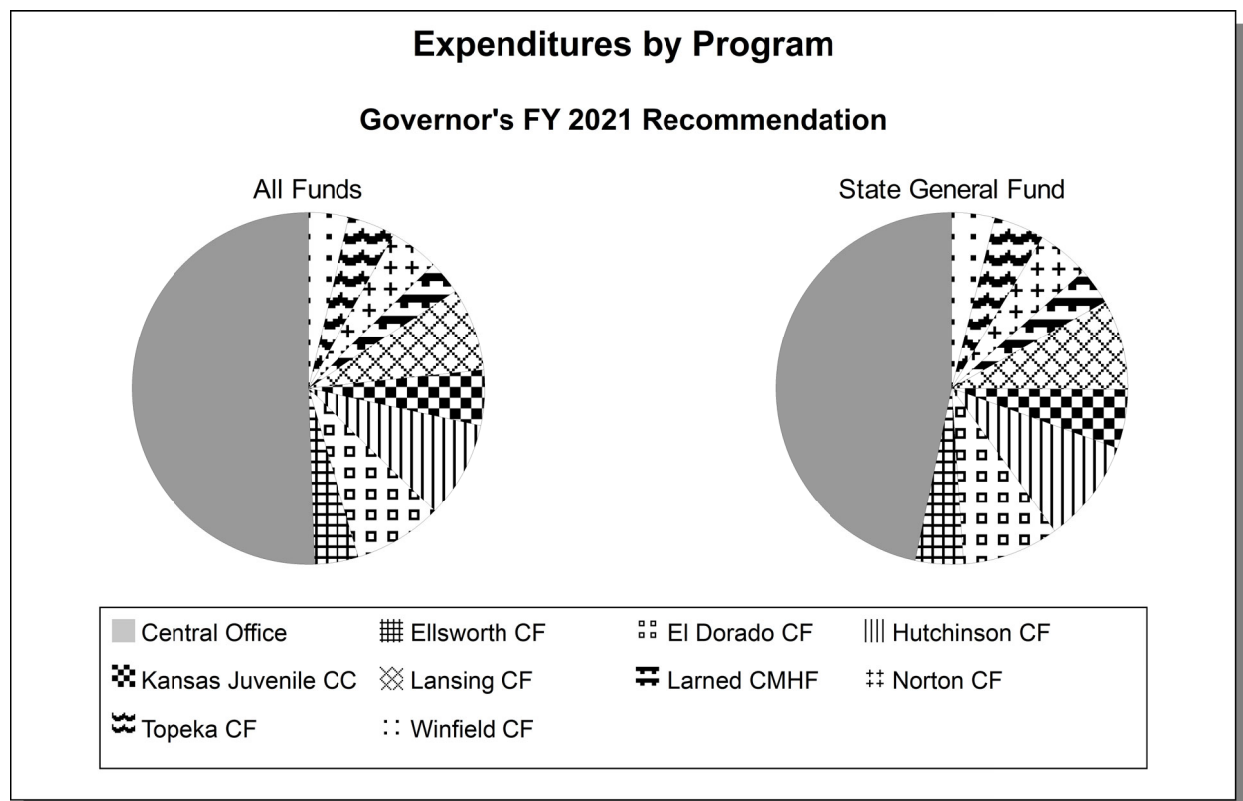
Kansas Public Employees Retirement System (KPERs). The employer retirement contribution rate including death and disability for KPERs State and School is scheduled to be 15.41 percent in FY 2020 and 15.23 percent for FY 2021. The Governor is recommending the KPERs State/School Group be reamortized. The current amortization period was set by the Legislature in 1993 for 40 years. The Governor proposes the new amortization be set for 25 years beginning in FY 2021. Reamortization would reduce employer contributions for the KPERs State/School Group in the short term. It is estimated that resetting the amortization period to 25 years could produce budget savings of \$131.0 million from the State General Fund and \$150.4 million from all funds for FY 2021. **No savings from this policy are currently included in this agency's budget.**

Funding Sources

Funding Source	Agency Req. Percent of Total FY 2021	Gov. Rec. Percent of Total FY 2021
State General Fund	92.4 %	90.4 %
Federal Funds	0.7	0.7
Correctional Industries	2.5	3.3
Correctional Industries Building Fund	0.9	1.3
State Institutions Building Fund	0.1	0.0
All Other Funds	3.4	4.3
TOTAL	100.0 %	100.0 %

Note: Totals may not add due to rounding.

PROGRAM DETAIL



Program	Gov. Rec. All Funds FY 2021	Percent of Total	Gov. Rec. SGF FY 2021	Percent of Total
Central Office	\$ 210,807,864	50.6 %	\$ 179,031,402	46.7 %
Ellsworth CF	16,943,692	4.1	16,858,892	4.4
El Dorado CF	33,973,579	8.1	33,963,579	8.9
Hutchinson CF	36,799,956	8.8	36,586,671	9.5
Kansas Juvenile CC	21,146,621	5.1	20,642,421	5.4
Lansing CF	31,982,653	7.7	31,862,653	8.3
Larned CMHF	12,998,466	3.1	12,998,466	3.4
Norton CF	18,675,337	4.5	18,472,944	4.8
Topeka CF	18,145,395	4.4	17,806,740	4.6
Winfield CF	15,536,960	3.7	15,183,968	4.0
TOTAL	\$ 417,010,523	100.0 %	\$ 383,407,736	100.0 %

FTE POSITIONS BY PROGRAM FY 2019 – FY 2021					
Program	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Central Office	470.8	507.0	507.0	543.0	484.6
Ellsworth CF	235.0	234.0	234.0	234.0	234.0
El Dorado CF	486.0	486.0	486.0	486.0	486.0
Hutchinson CF	507.0	507.0	507.0	507.0	507.0
Kansas Juvenile CC	264.5	264.5	264.5	264.5	264.5
Lansing CF	685.0	525.5	525.5	406.0	406.0
Larned CMHF	187.0	189.0	189.0	189.0	189.0
Norton CF	264.0	264.0	264.0	264.0	264.0
Topeka CF	262.0	260.0	260.0	282.0	284.0
Winfield CF	203.0	201.0	201.0	201.0	201.0
TOTAL	3,564.3	3,438.0	3,438.0	3,376.5	3,320.1

Note: For purposes of this analysis, full-time equivalent (FTE) positions now include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

DEPARTMENT OF CORRECTIONS CENTRAL OFFICE

The following portion of this budget analysis only describes the DOC Central Office. This section will include a summary operating budget table, followed by analysis of each program contained within the DOC Central Office. The Central Office is responsible for managing itself and supervising the operations of correctional facilities (e.g., Ellsworth Correctional Facility) holding felony offenders in the state. This section includes programs designated as A through K. Summaries of DOC corrections facilities are detailed after this portion of the budget analysis.

Summary of Central Office's Operating Budget FY 2019 - FY 2021

	Actual FY 2019	Agency Estimate				Governor's Recommendation			
		Estimate FY 2020	Request FY 2021	Dollar Change from FY 20	Percent Change from FY 20	Rec. FY 2020	Rec. FY 2021	Dollar Change from FY 20	Percent Change from FY 20
By Program:									
Administration	\$ 11,098,024	\$ 28,787,008	\$ 53,775,251	\$ 24,988,243	86.8 %	\$ 14,297,936	\$ 24,201,184	\$ 9,903,248	69.3 %
Inmate Health Care	73,738,238	78,852,069	85,800,519	6,948,450	8.8	78,852,069	77,350,225	(1,501,844)	(1.9)
Community Supervision	36,623,422	35,142,173	37,685,113	2,542,940	7.2	35,392,173	35,473,555	81,382	0.2
Offender Programs	11,642,112	13,979,106	17,579,868	3,600,762	25.8	13,979,106	13,955,607	(23,499)	(0.2)
Victim Services	1,538,421	1,809,495	2,306,451	496,956	27.5	1,809,495	1,775,918	(33,577)	(1.9)
Prisoner Review Board	471,681	475,525	986,050	510,525	107.4	475,525	475,182	(343)	(0.1)
Juvenile Services	27,462,957	76,803,740	43,456,305	(33,347,435)	(43.4)	34,488,600	0	(34,488,600)	(100.0)
Facility Operations	6,234,408	20,114,866	31,329,770	11,214,904	55.8	13,775,648	28,712,173	14,936,525	108.4
Food Service	16,666,901	16,022,259	17,853,841	1,831,582	11.4	16,022,259	16,732,759	710,500	4.4
Debt Service	437,035	210,388	0	(210,388)	(100.0)	210,388	0	(210,388)	(100.0)
Correctional Industries	10,610,525	12,185,828	12,131,261	(54,567)	(0.4)	12,185,828	12,131,261	(54,567)	(0.4)
TOTAL	\$ 196,523,724	\$ 284,382,457	\$ 302,904,429	\$ 18,521,972	6.5 %	\$ 221,489,027	\$ 210,807,864	\$ (10,681,163)	(4.8)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 29,295,119	\$ 46,507,166	\$ 56,836,642	\$ 10,329,476	22.2 %	\$ 34,018,179	\$ 37,266,443	\$ 3,248,264	9.5 %
Contractual Services	114,974,868	140,730,730	170,140,495	29,409,765	20.9	134,213,532	141,410,694	7,197,162	5.4
Commodities	5,893,294	5,551,488	5,861,490	310,002	5.6	5,551,488	5,798,282	246,794	4.4
Capital Outlay	1,286,003	3,464,303	21,258,854	17,794,551	513.7	1,892,198	3,419,463	1,527,265	80.7
Debt Service	437,035	210,388	0	(210,388)	(100.0)	210,388	0	(210,388)	(100.0)
<i>Subtotal - Operations</i>	<i>\$ 151,886,319</i>	<i>\$ 196,464,075</i>	<i>\$ 254,097,481</i>	<i>\$ 57,633,406</i>	<i>29.3 %</i>	<i>\$ 175,885,785</i>	<i>\$ 187,894,882</i>	<i>\$ 12,009,097</i>	<i>6.8 %</i>
Aid to Local Units	42,365,705	86,842,428	47,730,994	(39,111,434)	(45.0)	44,527,288	21,891,277	(22,636,011)	(50.8)
Other Assistance	2,271,700	1,075,954	1,075,954	0	0.0	1,075,954	1,021,705	(54,249)	(5.0)
TOTAL	\$ 196,523,724	\$ 284,382,457	\$ 302,904,429	\$ 18,521,972	6.5 %	\$ 221,489,027	\$ 210,807,864	\$ (10,681,163)	(4.8)%
Financing:									
State General Fund	\$ 166,985,369	\$ 251,773,264	\$ 271,626,501	\$ 19,853,237	7.9 %	\$ 188,879,834	\$ 179,031,402	\$ (9,848,432)	(5.2)%
Federal Funds	3,889,720	2,725,904	2,674,929	(50,975)	(1.9)	2,725,904	2,197,061	(528,843)	(19.4)
Correctional Industries	1,610,525	12,185,828	12,131,261	(54,567)	(0.4)	12,185,828	12,131,261	(54,567)	(0.4)
All Other Funds	15,038,110	17,697,461	16,471,738	(1,225,723)	(6.9)	17,697,461	17,448,140	(249,321)	(1.4)
TOTAL	\$ 187,523,724	\$ 284,382,457	\$ 302,904,429	\$ 18,521,972	6.5 %	\$ 221,489,027	\$ 210,807,864	\$ (10,681,163)	(4.8)%

A. Administration

The Administration program includes the activities of the Secretary of Corrections and other administrative and support personnel for the operation of the Department of Corrections and the management and oversight of correctional facilities and programs providing services to inmates, parolees, and other offenders. The program also includes information systems and communications personnel responsible for planning, operation, and support of all technology and telecommunications functions. In FY 2018, the Department of Corrections budgeted Facilities Management, formerly expenditures in the Administration program, as its own distinct program as part of the performance-based budgeting initiative. Facilities Management now refers to the portion of the DOC Central Office budget that helps to maintain and operate the correctional facilities.

Administration Subprograms. The Administration Program is composed of three subprograms: Administration, Information Services, and Evasions Apprehension and Investment. These are detailed below:

- Administration includes the activities of the Secretary of Corrections and other administrative and support personnel responsible for the operation, management, and oversight of the agency, including the development and implementation of policy and facility oversight;
- Information Services is a subprogram responsible for the planning, operation, and support of all information technology functions and telecommunications; and
- Evasions Apprehension and Investment includes the Secretary of Corrections, who was previously in the Administration program, and field agents, who were previously placed in the community supervision program.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Community Correction Programs Requiring Technical Assistance and Oversight by Central Administration	31	31	31	31	31	31
Number of Hours to Restore IT Services after Failure	N/A	9	N/A	5	3	2.5
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 12.0	\$ 10.1	\$ 10.1	\$ 11.1	\$ 14.3	\$ 24.2
FTE Positions	110.0	98.0	96.6	96.6	103.6	103.6

ADMINISTRATION					
SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 7,163,601	\$ 20,343,699	\$ 7,854,712	\$ 22,740,281	\$ 12,853,614
Contractual Services	3,548,735	6,803,194	6,375,214	11,009,042	9,018,715
Commodities	103,382	68,010	68,010	81,750	81,750
Capital Outlay	282,306	1,572,105	0	19,944,178	2,247,105
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 11,098,024</u>	<u>\$ 28,787,008</u>	<u>\$ 14,297,936</u>	<u>\$ 53,775,251</u>	<u>\$ 24,201,184</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	<u>\$ 11,098,024</u>	<u>\$ 28,787,008</u>	<u>\$ 14,297,936</u>	<u>\$ 53,775,251</u>	<u>\$ 24,201,184</u>
Financing:					
State General Fund	\$ 10,601,253	\$ 26,740,912	\$ 12,251,840	\$ 51,156,130	\$ 19,334,958
All Other Funds	496,771	2,046,096	2,046,096	2,619,121	4,866,226
TOTAL	<u>\$ 11,098,024</u>	<u>\$ 28,787,008</u>	<u>\$ 14,297,936</u>	<u>\$ 53,775,251</u>	<u>\$ 24,201,184</u>
FTE Positions	96.6	103.6	103.6	103.6	103.6

The **agency** requests FY 2021 operating expenditures of \$53.8 million, including \$51.2 million from the State General Fund, for the Administrative program. This is an all funds increase of \$25.0 million, or 86.8 percent, and a State General Fund increase of \$24.4 million, or 91.3 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for contractual services that include Office of Information Technology Services fees (\$2.7 million) and Desktop as Service leasing fees (\$427,980). The increase is also attributable to enhancements for capital outlay that include Offender and Juvenile Management Software (\$17.5 million), vehicle replacement (\$1.3 million), server and network infrastructure (\$645,000), and security and safety equipment (\$479,178).

The **Governor** recommends FY 2021 operating expenditures of \$24.2 million, including \$19.3 million from the State General Fund, for the Administration program. This is a decrease of \$29.5 million, or 55.0 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending enhancements for desktop as a service leasing fees, Offender and Juvenile Management Software, and server and network infrastructure. The Governor recommends partial enhancement expenditures for shrinkage rate reduction (\$5.0 million), Office of Information Technology Services fees (\$915,804) and a full enhancement for vehicle replacement (\$1.3 million) with a change in the funding source.

B. Inmate Health Care

Inmate health care is provided for by an all-inclusive contract with Corizon Healthcare, Inc. The contract covers medical, dental, and mental health services for all inmates and juveniles. The DOC entered into a contract with Corizon that became effective January 1, 2014, and came with four renewal options, which began July 1, 2015. The contract was extended for one year on July 1, 2019, and the DOC is currently rebidding the contract with an intended effective date of July 1, 2020. Included in the Inmate Health Care program are expenditures for Medicaid services and Hepatitis C treatment.

Inmate Health Care Subprograms. The Inmate Health Care program is composed of two subprograms: Adult Health Care Services and Juvenile Health Care Services. These are detailed below:

- The Adult Health Care Services subprogram provides adult inmates' health care services and includes Medicaid inpatient hospitalization costs for inmates who are under 18, over 65, pregnant, or disabled; and
- The Juvenile Health Care Services program provides health care to youth housed at the Kansas Juvenile Correctional Complex.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Percent vacant FTE medical positions	13.0 %	12.7 %	10.0 %	12.6 %	10.0 %	10.0%
Number of Inmates Treated for Hepatitis C	N/A	44	N/A	110	567	605
Number of Suicides	N/A	2	N/A	3	0	0
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 64.4	\$ 67.9	\$ 72.0	\$ 73.7	\$ 78.9	\$ 77.4
FTE Positions	13.0	7.0	5.0	5.0	4.0	4.0

INMATE HEALTH CARE SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 253,512	\$ 242,397	\$ 242,397	\$ 242,783	\$ 242,783
Contractual Services	72,308,184	77,739,672	77,739,672	84,687,736	76,237,442
Commodities	132,285	0	0	0	0
Capital Outlay	1,520	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 72,695,501</i>	<i>\$ 77,982,069</i>	<i>\$ 77,982,069</i>	<i>\$ 84,930,519</i>	<i>\$ 76,480,225</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	1,042,737	870,000	870,000	870,000	870,000
TOTAL	\$ 73,738,238	\$ 78,852,069	\$ 78,852,069	\$ 85,800,519	\$ 77,350,225
Financing:					
State General Fund	\$ 72,355,932	\$ 76,132,544	\$ 76,132,544	\$ 84,580,994	\$ 76,130,700
All Other Funds	1,382,306	2,719,525	2,719,525	1,219,525	1,219,525
TOTAL	\$ 73,738,238	\$ 78,852,069	\$ 78,852,069	\$ 85,800,519	\$ 77,350,225
FTE Positions	5.0	4.0	4.0	4.0	4.0

The **agency** requests FY 2021 operating expenditures of \$85.8 million, including \$84.6 million from the State General Fund, for the Inmate Health Care program. This is an all funds increase of \$6.9 million, or 8.8 percent, and a State General Fund increase of \$8.4 million, or 11.1 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for contractual services that include hepatitis C treatment (\$3.1 million) and fully funding the medical services contract (\$4.7 million).

The **Governor** recommends FY 2021 operating expenditures of \$77.4 million, including \$76.1 million from the State General Fund, for the Inmate Health Care program. This is a decrease of \$8.5 million, or 9.8 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending enhancements for hepatitis C treatment (\$3.1 million) and fully funding the medical services contract (\$4.7 million).

C. Community Supervision

The Community Supervision program consists of correctional programs, services, and sanctions that are administered in the community rather than a correctional facility. Offenders covered under the program include probationers and those sentenced under the alternative drug sentencing policy (2003 SB 123), as well as offenders who have been released from correctional facilities on parole. In addition to supervision and assessment of probationers and parolees, the program also operates residential facilities for adult felony probationers who are designed to increase accountability by helping offenders find employment and develop good work habits. The community corrections subprogram (2007 SB 14) is a grant program administered at the county level. Currently, there are 31 corrections agencies across the state.

Community Supervision Subprograms. The Community Supervision program is composed of three subprograms: Parole Supervision, the Interstate Compact, and Technical Assistance and Oversight. These are detailed below:

- Parole Supervision is the subprogram that supervises offenders who are released from correctional facilities on parole, post-release supervision, or conditional release, but who have not been discharged from his or her sentence;
- The Interstate Compact is responsible for regulating the transfer and movement between states of adult parole and probation offenders under community supervision; and
- The Technical Assistance and Oversight subprogram administers operating grants, behavioral health services to individuals under the supervision of DOC, third time DUI treatment, and payments to an adult residential center.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Offenders under Parole Supervision	5,486	5,624	5,800	5,741	6,015	6,100
Number of Offenders under Parole Supervision Returned to Prison for Condition Violations	1,142	1,104	1,162	1,090	1,200	1,200
Number of Adult Felony Residential Centers	217	241	246	250	251	256
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 34.3	\$ 36.0	\$ 34.3	\$ 36.6	\$ 35.4	\$ 35.5
FTE Positions	170.0	164.0	163.0	163.0	162.0	162.0

**COMMUNITY SUPERVISION
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 9,022,415	\$ 9,774,861	\$ 9,774,861	\$ 12,524,862	\$ 9,785,195
Contractual Services	5,744,237	3,038,081	3,288,081	2,881,020	3,431,020
Commodities	130,146	132,000	132,000	132,000	132,000
Capital Outlay	208,406	100,000	100,000	50,000	82,358
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 15,105,204</u>	<u>\$ 13,044,942</u>	<u>\$ 13,294,942</u>	<u>\$ 15,587,882</u>	<u>\$ 13,430,573</u>
Aid to Local Units	21,310,716	21,891,277	21,891,277	21,891,277	21,891,277
Other Assistance	207,502	205,954	205,954	205,954	151,705
TOTAL	<u>\$ 36,623,422</u>	<u>\$ 35,142,173</u>	<u>\$ 35,392,173</u>	<u>\$ 37,685,113</u>	<u>\$ 35,473,555</u>
Financing:					
State General Fund	\$ 32,325,424	\$ 32,811,916	\$ 33,061,916	\$ 35,354,856	\$ 33,110,940
All Other Funds	4,297,998	2,330,257	2,330,257	2,330,257	2,362,615
TOTAL	<u>\$ 36,623,422</u>	<u>\$ 35,142,173</u>	<u>\$ 35,392,173</u>	<u>\$ 37,685,113</u>	<u>\$ 35,473,555</u>
FTE Positions	163.0	162.0	162.0	166.0	162.0

The **agency** requests FY 2021 operating expenditures of \$37.7 million, including \$35.4 million from the State General Fund, for the Community Supervision program. This is an all funds increase of \$2.5 million, or 7.2 percent, and a State General Funds increase of \$2.5 million, or 7.8 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for salaries and wages that include pay equity for Parole Officers (\$1.6 million) and retirement enhancements for Parole Officers (\$720,000). The increase also includes enhancements for increased GPS Monitoring (\$200,00) and the addition of 4.0 FTE positions for LSI-R Fidelity (\$390,000).

The **Governor** recommends FY 2021 operating expenditures of \$35.5 million, including \$33.1 million from the State General Fund, for the Community Supervision program. This is an all funds decrease of \$2.2 million, or 5.9 percent, and a State General Fund decrease of \$2.2 million, or 6.3 percent, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests for Parole Officer pay increases and retirement adjustments (\$2.3 million), GPS monitoring (\$200,000), and the addition of 4.0 FTE positions for LSI-R Fidelity (\$390,000). The recommendation also includes a decrease in other assistance to eliminate an annual interfund transfer to the Kansas Sentencing Commission (\$54,249) for administrative support of a substance abuse program. A corresponding increase of the same amount is reflected in the Governor's FY 2021 recommendation for the Kansas Sentencing Commission. The Governor recommends increased expenditures for payments to local jails to house parole violators (\$750,000) and video conferencing equipment for parole officers (\$32,358).

D. Offender Programs

Offender programs provide direct program services to inmates and parolees. The agency bases its plan of treatment and programs on what is termed the evidence-based research literature, which identifies basic principles for guiding effective correctional programs and practice. As a result, the agency strives to provide the following services and programs to

as many offenders as is financially possible, if those offenders are identified as capable of benefiting from the services or programs based on these guiding principles: (1) special, vocational, and academic education; (2) substance abuse treatment for incarcerated offenders; (3) community-based resources to assist offenders when they transition back into the community (Community Based Intermediate Treatment, Outpatient Treatment, and Community Residential Beds); (4) sex offender treatment services; and (5) other programs. In FY 2016, DOC combined the Reentry Services program with the offender programs. Due to the similar goals of both programs, the agency sought more efficient budgeting, tracking, and reporting of expenditures related to the successful reintegration of offenders into society.

Offender Programs Subprograms. The Offender Program is composed of five subprograms: Housing, Mentoring, Mental Health, Program Providers, and Reentry Programs. These are detailed below:

- The Housing subprogram provides transitional housing for recently released offenders. The DOC has four housing specialists in Kansas City, Olathe, Topeka, and Wichita who work with various landlords, property managers, public housing authorities, parole officers, and unit team counselors to ensure that offenders have safe and affordable housing opportunities;
- The Mentoring subprogram provides volunteer mentors to work with offenders pre- and post-release to secure employment and housing, work through family issues, and provide positive social influencing and modeling;
- The Mental Health subprogram works with offenders with mental illness to provide discharge planning services at all facilities;
- The Program Providers subprogram contains expenditures for various contracts that provide programming services to offenders' reintegration into the community; and
- The Reentry subprogram works with offenders 18 months prior to release for high risk/need offenders and several months pre-release in all cases. These teams, and the treatment and program providers, receive support from a Central Office team that provides grant writing, policy development, data collection and review, skills development, training, and other support to the teams in the facilities and parole offices.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Percent of GED/academic improvement	60.0 %	59.0 %	60.0 %	63.4 %	60.0 %	60.0 %
Percent of Successful Vocational Training Skills	82.0 %	80.0 %	85.0 %	78.5 %	80.0 %	80.0 %
Percent of Successful College Courses	98.0 %	94.0 %	94.0 %	91.8 %	94.0 %	94.0 %
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 12.5	\$ 10.2	\$ 13.0	\$ 11.6	\$ 14.0	\$ 14.0
FTE Positions	83.0	70.0	71.0	71.0	105.0	105.0

OFFENDER PROGRAMS SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 4,129,789	\$ 6,851,659	\$ 6,851,659	\$ 8,987,050	\$ 6,662,789
Contractual Services	7,354,543	7,101,821	7,101,821	8,566,971	7,266,971
Commodities	17,052	15,626	15,626	15,847	15,847
Capital Outlay	160	10,000	10,000	10,000	10,000
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 11,501,544</u>	<u>\$ 13,979,106</u>	<u>\$ 13,979,106</u>	<u>\$ 17,579,868</u>	<u>\$ 13,955,607</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	140,568	0	0	0	0
TOTAL	<u>\$ 11,642,112</u>	<u>\$ 13,979,106</u>	<u>\$ 13,979,106</u>	<u>\$ 17,579,868</u>	<u>\$ 13,955,607</u>
Financing:					
State General Fund	\$ 4,836,029	\$ 6,002,786	\$ 6,002,786	\$ 9,430,580	\$ 5,806,319
All Other Funds	6,806,083	7,976,320	7,976,320	8,149,288	8,149,288
TOTAL	<u>\$ 11,642,112</u>	<u>\$ 13,979,106</u>	<u>\$ 13,979,106</u>	<u>\$ 17,579,868</u>	<u>\$ 13,955,607</u>
FTE Positions	71.0	105.0	105.0	107.0	105.0

The **agency** requests FY 2021 operating expenditures of \$17.6 million, including \$9.4 million from the State General Fund, for Offender Programs. This is an all funds increase of \$3.6 million, or 25.8 percent, and a State General Fund increase of \$3.4 million, or 57.1 percent, above the FY 2020 revised estimate. This increase is attributable to enhancements for salaries and wages that include pay equity for Unit Team Counselors (\$2.2 million) and contractual services that include substance abuse program expansion (\$500,000). The request includes an enhancement for the addition of 2.0 FTE positions as Kansas Supportive Housing for Offenders (KSHOP) Care Coordinators (\$129,000).

The **Governor** recommends FY 2021 operating expenditures of \$14.0 million, including \$5.8 million from the State General Fund, for Offender Programs. This is a decrease of \$3.6 million, or 20.6 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests for Unit Team Counselor pay adjustments (\$2.2 million) and the addition of 2.0 FTE positions as KSHOP Care Coordinators (\$129,000). The Governor also recommends a decrease in expenditures for offender programs (\$1.3 million), including substance abuse treatment.

E. Victims Services

The Victims Services program provides services to victims of crimes and inmates in the correctional facilities. These services include notification of changes in offender status, apology repository, public comment session advocacy, tours, victim/offender dialogue, visitation screenings, cease correspondence orders, safety planning/family reintegration, parole violation hearings, and victim impact classes.

Victims Services Subprograms. The Victims Services program is composed of four subprograms: Victim Notification, Victim Service Liaison, Restorative Justice, and Batterers Intervention. These are detailed below:

- The Victim Notification subprogram is in accordance with KSA 22-3727 and provides written notification of a change in offender status in the case of an offender's release, death, and other listed changes;
- The Victim Service Liaison subprogram allows victims to interact with offenders without meeting them directly;
- The Restorative Justice subprogram provides services that are meant to make a victim of a crime whole; and
- Batterers intervention is certified by the Kansas Office of the Attorney General to provide services to offenders in prison and on parole. The mission of the subprogram is to hold batterers accountable, create nonviolent behavior, and promote safety for victims.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Victims Who Registered for Services	32,753	37,259	38,500	38,065	39,000	40,000
Number of Victims Who Received Services	9,127	9,756	9,500	10,826	10,500	11,000
Number of Domestic Violence Victims Served	436	668	700	933	900	1,000
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 0.9	\$ 1.7	\$ 1.5	\$ 1.5	\$ 1.8	\$ 1.8
FTE Positions	16.0	23.0	23.0	23.0	24.0	24.0

VICTIM SERVICES SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 1,396,713	\$ 1,538,702	\$ 1,538,702	\$ 2,053,811	\$ 1,523,278
Contractual Services	125,033	265,793	265,793	247,640	247,640
Commodities	7,691	5,000	5,000	5,000	5,000
Capital Outlay	0	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 1,529,437</u>	<u>\$ 1,809,495</u>	<u>\$ 1,809,495</u>	<u>\$ 2,306,451</u>	<u>\$ 1,775,918</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	8,984	0	0	0	0
TOTAL	<u>\$ 1,538,421</u>	<u>\$ 1,809,495</u>	<u>\$ 1,809,495</u>	<u>\$ 2,306,451</u>	<u>\$ 1,775,918</u>
Financing:					
State General Fund	\$ 900,113	\$ 918,627	\$ 918,627	\$ 1,450,305	\$ 919,772
All Other Funds	638,308	890,868	890,868	856,146	856,146
TOTAL	<u>\$ 1,538,421</u>	<u>\$ 1,809,495</u>	<u>\$ 1,809,495</u>	<u>\$ 2,306,451</u>	<u>\$ 1,775,918</u>
FTE Positions	23.0	24.0	24.0	32.0	24.0

The **agency** requests FY 2021 operating expenditures of \$2.3 million, including \$1.5 million from the State General Fund, for the Victim Services program. This is an all funds increase of \$496,956, or 27.5 percent, and a State General Fund increase of \$531,678, or 57.9 percent, above the FY 2020 revised estimate. The increase is attributable to enhancements for salaries and wages that include the addition of 7.0 FTE positions for Victims Services staff at facilities and parole offices (\$458,036) and 1.0 FTE for a Victims Services Supervisor at the Central Office (\$72,497).

The **Governor** recommends FY 2021 operating expenditures of \$1.8 million, including \$919,772 from the State General Fund, for the Victims Services program. This is a decrease of \$530,533, or 23.0 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests for additional staff.

F. Prisoner Review Board

The Prisoner Review Board was created in 2011 through Executive Reorganization Order No. 34, which abolished the Parole Board and transferred its duties and powers to the Prisoner Review Board within the DOC. The Board consists of three members who conduct parole suitability hearings, final violation hearings and revocation consideration, and public comment sessions. The Chairperson and two other board members are full-time employees, along with administrative and clerical staff. The Board reviews approximately 7,000 cases annually.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Meetings Attended by the Board	225	225	225	218	225	225
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 459.1	\$ 453.8	\$ 454.4	\$ 471.7	\$ 475.5	\$ 475.2
FTE Positions	6.0	6.0	6.0	6.0	6.0	6.0

**PRISONER REVIEW BOARD
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 451,728	\$ 457,525	\$ 457,525	\$ 873,374	\$ 457,182
Contractual Services	16,857	16,500	16,500	16,500	16,500
Commodities	2,026	1,500	1,500	1,500	1,500
Capital Outlay	1,070	0	0	94,676	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 471,681</i>	<i>\$ 475,525</i>	<i>\$ 475,525</i>	<i>\$ 986,050</i>	<i>\$ 475,182</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	<u>\$ 471,681</u>	<u>\$ 475,525</u>	<u>\$ 475,525</u>	<u>\$ 986,050</u>	<u>\$ 475,182</u>
Financing:					
State General Fund	\$ 471,681	\$ 475,525	\$ 475,525	\$ 986,050	\$ 475,182
All Other Funds	0	0	0	0	0
TOTAL	<u>\$ 471,681</u>	<u>\$ 475,525</u>	<u>\$ 475,525</u>	<u>\$ 986,050</u>	<u>\$ 475,182</u>
FTE Positions	6.0	6.0	6.0	12.0	6.0

The **agency** requests FY 2021 operating expenditures of \$986,050, all from the State General Fund, for the Prisoner Review Board program. This is an increase of \$510,525, or 107.4 percent, above the FY 2020 revised estimate. The increase is attributable to an enhancement for the addition of 6.0 FTE positions as Hearing Officers, which includes salaries and wages (\$416,192) and assigned vehicles (\$94,676).

The **Governor** recommends FY 2021 operating expenditures of \$475,182, all from the State General Fund, for the Prisoner Review Board program. This is a decrease of \$510,868, or 51.8 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests for additional staff and assigned vehicles.

G. Juvenile Services

The Juvenile Services Division was established following the merger of the Juvenile Justice Authority (JJA) and the DOC on July 1, 2013. This division is responsible for administering state and federal funds and coordinating with other agencies for the operation of a continuum of juvenile justice services statewide. Kansas juvenile justice reform efforts of the mid-1990s established the foundation upon which services are delivered today. Services are delivered through grants to local boards of county commissioners for the development, implementation, operation, and improvement of community-based juvenile justice programs through contracts for community placements, grants for the operation of psychiatric residential treatment facilities, and the Kansas Juvenile Correctional Complex. The Kansas Juvenile Correctional Complex submits separate agency budgets. The Juvenile Services program also provides technical assistance and support, consultation, oversight, resources, and training to enhance successful partnerships with local juvenile justice stakeholders for the implementation and operation of comprehensive juvenile justice services in the community.

SB 367 (2016) increased investment in evidence-based programs and practices by reinvesting funds previously budgeted for programs and practices that lack evidence as to their effectiveness in reducing juvenile re-offending. Implementation of reforms began in FY 2017 and will continue over the following two to four years.

Juvenile Services Subprograms. The Juvenile Services Program is composed of two subprograms: Technical Assistance and Oversight and the Kansas Advisory Group. These are detailed below:

- The Technical Assistance and Oversight subprogram provides support, consultation, analysis, oversight, and resources to administer the operation of various juvenile justice services across Kansas, including, but not limited to, evidence-based programming, detention grants and alternative to detention grants, juvenile intake and assessment services, intensive supervision, and community placements; and
- The Kansas Advisory Group was established in accordance with KSA 75-7007 and is responsible for determining, advocating for, and promoting the best interests of juveniles in the state.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Residential Provider Site Visits	11	10	N/A	5	5	5
Number of New Staff Trained in Correctional Supervision	25	90	N/A	107	50	35
Number of Assessments on Juvenile Offenders	6,262	6,218	N/A	5,899	5,650	5,500
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 34.3	\$ 30.3	\$ 40.3	\$ 27.5	\$ 34.5	\$ 0.0
FTE Positions	20.0	26.0	28.4	19.1	22.4	0.0

JUVENILE SERVICES SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 1,935,712	\$ 1,646,773	\$ 1,646,773	\$ 2,568,879	\$ 0
Contractual Services	3,454,730	10,057,764	10,057,764	14,904,501	0
Commodities	40,861	68,052	68,052	63,208	0
Capital Outlay	105,497	80,000	80,000	80,000	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 5,536,800</i>	<i>\$ 11,852,589</i>	<i>\$ 11,852,589</i>	<i>\$ 17,616,588</i>	<i>\$ 0</i>
Aid to Local Units	21,054,989	64,951,151	22,636,011	25,839,717	0
Other Assistance	871,168	0	0	0	0
TOTAL	<u>\$ 27,462,957</u>	<u>\$ 76,803,740</u>	<u>\$ 34,488,600</u>	<u>\$ 43,456,305</u>	<u>\$ 0</u>
Financing:					
State General Fund	\$ 24,201,575	\$ 74,150,296	\$ 31,835,156	\$ 40,809,172	\$ 0
All Other Funds	3,261,382	2,653,444	2,653,444	2,647,133	0
TOTAL	<u>\$ 27,462,957</u>	<u>\$ 76,803,740</u>	<u>\$ 34,488,600</u>	<u>\$ 43,456,305</u>	<u>\$ 0</u>
FTE Positions	28.4	22.4	22.4	22.4	0.0

The **agency** requests FY 2021 operating expenditures of \$43.5 million, including \$40.8 million from the State General Fund, for the Juvenile Services program. This is an all funds decrease of \$33.3 million, or 43.4 percent, and a State General Fund decrease of \$33.3 million, or 45.0 percent, below the FY 2020 revised estimate. The decrease is attributable to a decrease in community grants for evidence-based juvenile programs (\$39.1 million) due primarily to a State General Fund appropriation occurring in FY 2020 (\$30.1 million) that is not anticipated to occur in FY 2021. The decrease is partially offset by an increased expenditures for contractual services (\$4.8 million), including mental health treatment, substance abuse counseling for families, and a cognitive behavioral program.

The **Governor** recommends for FY 2021 that the Juvenile Services program be reorganized into the newly created Department of Human Services, as part of the Governor's ERO. The Governor recommends operating expenditures of \$39.1 million, including \$36.5 million from the State General Fund, for the Juvenile Services program. Due to the reorganization, the recommendation would be a decrease of \$39.1 million from the operating expenditures of the DOC Central Office. The recommendation includes 22.4 FTE positions, which is unchanged from the agency's FY 2021 request. Due to the reorganization, this would be a decrease of 22.4 FTE positions from the DOC Central Office.

H. Facility Management

In FY 2018, the DOC budgeted Facility Management, formerly part of the Administration program, as its own distinct program as part of the performance-based budgeting initiative. Facility Management now refers to the portion of the Central Office budget that helps to maintain and operate the correctional facilities.

Facility Management Subprograms. The Facility Management Program is composed of two subprograms: Classification and Sentencing, and Design and Project Management. These are detailed below:

- The Classification and Sentencing subprogram is the part of the DOC Central Office that helps to manage offenders on the basis of their classifications and sentences; and
- The Design and Project Management subprogram focuses on maintaining facilities and overseeing the construction of new facilities.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Security Audits Conducted	10	9	9	9	9	9
Number of Safety Inspections Conducted	200	75	100	75	125	125
Agency Expenditures						
All Funds (Dollars in Millions)	\$ N/A	\$ 2.9	\$ 4.9	\$ 6.2	\$ 13.8	\$ 28.7
FTE Positions	N/A	21.8	19.8	19.8	20.0	20.0

FACILITY MANAGEMENT SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 1,373,576	\$ 1,491,594	\$ 1,491,594	\$ 1,491,251	\$ 1,491,251
Contractual Services	4,680,272	18,281,236	11,942,018	29,831,419	27,213,822
Commodities	17,771	7,100	7,100	7,100	7,100
Capital Outlay	162,789	334,936	334,936	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 6,234,408</i>	<i>\$ 20,114,866</i>	<i>\$ 13,775,648</i>	<i>\$ 31,329,770</i>	<i>\$ 28,712,173</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	\$ 6,234,408	\$ 20,114,866	\$ 13,775,648	\$ 31,329,770	\$ 28,712,173
Financing:					
State General Fund	\$ 4,561,028	\$ 18,651,715	\$ 12,312,497	\$ 30,004,573	\$ 27,386,976
All Other Funds	1,673,380	1,463,151	1,463,151	1,325,197	1,325,197
TOTAL	\$ 6,234,408	\$ 20,114,866	\$ 13,775,648	\$ 31,329,770	\$ 28,712,173
FTE Positions	19.8	20.0	20.0	20.0	20.0

The **agency** requests FY 2021 operating expenditures of \$31.3 million, including \$30.0 million from the State General Fund, for the Facility Management program. This is an all funds increase of \$11.2 million, or 55.8 percent, and a State General Fund increase of \$11.4 million, or 60.9 percent, above the FY 2020 revised estimate. The increase is attributable to the first full-year lease payment for the Lansing Correctional Facility totaling \$15.1 million, which is an increase (\$8.3 million) above the partial payment in FY 2020. The increase is also attributable to increased expenditures for inmate outsourcing to non-state facilities totaling \$13.3 million, which is increase (\$3.4 million) above FY 2020. The program budget was intended to also include an enhancement for 16.0 FTE positions as Unit Team Counselors (\$1.1 million), but enhancement was inadvertently requested through the Food Services program instead.

The **Governor** recommends FY 2021 operating expenditures of \$28.7 million, all from the State General Fund, for the Facility Management program. This is a decrease of \$2.6 million, or 8.4 percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is mainly attributable to the Governor not recommending the agency's enhancement requests for additional staff and assigned vehicles. The decrease is also attributable to a reduced estimate for inmate outsourcing to non-state facilities (\$2.6 million). The Governor did not recommend the agency's enhancement request to add 16.0 FTE positions for Unit Team Counselors.

I. Food Services

The DOC entered into a contract with ARAMARK Correctional Services, Inc., in July 1997. ARAMARK is responsible for all labor, food, supplies, and other materials required for the delivery of food services at all of the correctional facilities with the exception of Larned Correctional Mental Health Facility. At Larned Correctional Mental Health Facility, ARAMARK is responsible for labor only as the meals are prepared for Larned Correctional Mental Health Facility by Larned State Hospital. The current contract with ARAMARK was renegotiated in 2011 and will run through 2022. The Kansas Juvenile Justice Correctional Complex entered into a separate food service contract with ARAMARK in 2019 to accommodate the dietary needs of a juvenile population. Funding for this separate contract is noted in the Kansas Juvenile Justice Complex budget request.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Meals Served to Offenders (In Millions)	10.4	10.1	10.3	10.3	10.1	10.3
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 15.8	\$ 16.3	\$ 16.8	\$ 16.7	\$ 16.0	\$ 16.7
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0

FOOD SERVICES					
SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 1,104,000	\$ 0
Contractual Services	16,666,901	16,022,259	16,022,259	16,749,841	16,732,759
Commodities	0	0	0	0	0
Capital Outlay	0	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 16,666,901</u>	<u>\$ 16,022,259</u>	<u>\$ 16,022,259</u>	<u>\$ 17,853,841</u>	<u>\$ 16,732,759</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	<u>\$ 16,666,901</u>	<u>\$ 16,022,259</u>	<u>\$ 16,022,259</u>	<u>\$ 17,853,841</u>	<u>\$ 16,732,759</u>
Financing:					
State General Fund	\$ 16,666,901	\$ 15,866,555	\$ 15,866,555	\$ 17,853,841	\$ 15,866,555
All Other Funds	0	155,704	155,704	0	866,204
TOTAL	<u>\$ 16,666,901</u>	<u>\$ 16,022,259</u>	<u>\$ 16,022,259</u>	<u>\$ 17,853,841</u>	<u>\$ 16,732,759</u>
FTE Positions	0.0	0.0	0.0	16.0	0.0

FY 2020 Food Service Contract - Agency Estimate			
Facilities	9,443 ADP x 3 x 365 =	10,340,085 meals x \$1.562/meal	\$ 16,147,284
Topeka CF - North Unit	120 ADP x 3 x 365 =	131,400 meals x \$0.133/meal	17,424
Larned CMHF		365 days x \$643.86/day	235,009
Monitor Meals		36,500 meals x 1.25/meal	45,625
Equipment Maintenance and Replacement Fund			300,000
<i>Subtotal</i>			<u>\$ 16,745,341</u>
Dietician Services			4,500
TOTAL			<u>\$ 16,749,841</u>

The **agency** requests FY 2021 operating expenditures of \$17.9 million, all from the State General Fund, for the Food Service program. This is an increase of \$1.8 million, or 11.4 percent, above the FY 2020 revised estimate. The increase is attributable to an enhancement to fully fund the food service contract (\$866,204). The increase also includes an enhancement for 16.0 FTE positions as Unit Team Counselors (\$1.1 million), which was intended to be requested through the Facility Management program instead of the Food Services program.

The **Governor** recommends FY 2021 operating expenditures of \$16.7 million, all from the State General Fund, for the Food Services program. This is an all funds decrease of \$1.1 million, or 6.3 percent, and a State General Fund decrease of \$1.9 million, or 11.1 percent, below the agency's FY 2021 request. The decrease is attributable to the Governor not recommending the agency's enhancement request to add 16.0 FTE positions for Unit Team Counselors (\$1.1 million). The Governor recommends the agency's enhancement request to fully fund the food service contract (\$866,204).

J. Debt Service

The DOC is responsible for managing the construction and financing of facilities' structures throughout the state correctional system. The interest payments on the System's debt is funded under DOC's operations budget.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
There are no performance measures submitted for this program.						
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 856.6	\$ 656.0	\$ 437.3	\$ 437.0	\$ 210.4	\$ 0.0
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0

DEBT SERVICE SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Contractual Services	0	0	0	0	0
Commodities	0	0	0	0	0
Capital Outlay	0	0	0	0	0
Debt Service	437,035	210,388	210,388	0	0
<i>Subtotal - Operations</i>	<i>\$ 437,035</i>	<i>\$ 210,388</i>	<i>\$ 210,388</i>	<i>\$ 0</i>	<i>\$ 0</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	0	0	0	0	0
TOTAL	\$ 437,035	\$ 210,388	\$ 210,388	\$ 0	\$ 0
Financing:					
State General Fund	\$ 65,433	\$ 22,388	\$ 22,388	\$ 0	\$ 0
All Other Funds	371,602	188,000	188,000	0	0
TOTAL	\$ 437,035	\$ 210,388	\$ 210,388	\$ 0	\$ 0
FTE Positions	0.0	0.0	0.0	0.0	0.0

The **agency** requests FY 2021 operating expenditures of \$0 for debt service interest. This an all funds decrease of \$210,388, or 100.0 percent, and a State General Fund decrease of \$22,388, or 100.0 percent, below the FY 2020 revised estimate. The decrease is attributable to final debt service interest payments for all bonds occurring in FY 2020.

The **Governor** concurs with the agency's FY 2021 request.

K. Kansas Correctional Industries

Kansas Correctional Industries (KCI) manufactures a variety of products at correctional facilities using inmate labor. These products are sold to government units or not-for-profit organizations. KCI presents work opportunities for 11.0 percent of the total inmate population.

Approximately 90.0 percent of sales are with other state agencies, with the balance divided among other eligible organizations, state residents, and employees.

Kansas Correctional Industries Subprograms. The Kansas Correctional Industries program is composed of two subprograms: Administration and Kansas Correctional Industries. These are detailed below:

- The Administration subprogram of Kansas Correctional Industries is responsible for the management and oversight of inmates, facilities, and product distribution of the goods made by Kansas Correctional Industries; and
- The Kansas Correctional Industries subprogram is composed of numerous divisions, including, but not limited to, private industries, metal products, sign and graphics, the dental program, the farm division, textiles, canteen, sales and marketing, and warehouses.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Inmates Participating in Traditional Industries Program (By Average Daily Population)	307	288	288	288	288	288
Inmates Participating in Private Industry Program (By Average Daily Population)	748	748	869	937	937	937
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 10.0	\$ 10.1	\$ 11.8	\$ 10.6	\$ 12.2	\$ 12.1
FTE Positions	56.0	56.0	58.0	58.0	60.0	60.0

KANSAS CORRECTIONAL INDUSTRIES SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 3,568,073	\$ 4,159,956	\$ 4,159,956	\$ 4,250,351	\$ 4,250,351
Contractual Services	1,075,376	1,404,410	1,404,410	1,245,825	1,245,825
Commodities	5,442,080	5,254,200	5,254,200	5,555,085	5,555,085
Capital Outlay	524,255	1,367,262	1,367,262	1,080,000	1,010,000
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 10,609,784</i>	<i>\$ 12,185,828</i>	<i>\$ 12,185,828</i>	<i>\$ 12,131,261</i>	<i>\$ 12,061,261</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	741	0	0	0	0
TOTAL	\$ 10,610,525	\$ 12,185,828	\$ 12,185,828	\$ 12,131,261	\$ 12,061,261
Financing:					
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	10,610,525	12,185,828	12,185,828	12,131,261	12,131,261
TOTAL	\$ 10,610,525	\$ 12,185,828	\$ 12,185,828	\$ 12,131,261	\$ 12,131,261
FTE Positions	58.0	60.0	60.0	60.0	60.0

The **agency** requests FY 2021 operating expenditures of \$12.1 million, all from the Correctional Industries special revenue fund, for the Kansas Correctional Industries (KCI) program. This is an all funds decrease of \$54,567, or 0.4 percent, below the FY 2020 revised estimate. The decrease is mainly attributable to reduced contractual services for architects and engineers (\$115,000) and reduced expenditures for shop maintenance equipment (\$290,000), offset by increased salaries and wages (\$90,395) and expenditures for manufacturing and supply commodities (\$273,000).

The **Governor** concurs with the agency's FY 2021 request.

DEPARTMENT OF CORRECTIONS CORRECTIONAL FACILITIES

The following portion of this budget analysis only describes the Department of Corrections' correctional facilities. This section will include two summary operating budget tables, treating each facility as though it were a program, followed by analysis of each correctional facility. The section includes programs designated as L through T. These sections will not detail specific capital improvements projects at these facilities.

Summary of Facilities' Operating Budget FY 2019 - FY 2021

	Actual FY 2019	Agency Estimate				Governor's Recommendation			
		Estimate FY 2020	Request FY 2021	Dollar Change from FY 20	Percent Change from FY 20	Rec. FY 2020	Rec. FY 2021	Dollar Change from FY 20	Percent Change from FY 20
By Program:									
Ellsworth CF	\$ 15,526,041	\$ 17,134,573	\$ 16,943,692	\$ (190,881)	(1.1)%	\$ 17,134,573	\$ 16,943,692	\$ (190,881)	(1.1)%
El Dorado CF	32,842,100	34,123,049	33,973,579	(149,470)	(0.4)	34,123,049	33,976,579	(146,470)	(0.4)
Hutchinson CF	34,936,428	36,852,450	36,799,956	(52,494)	(0.1)	36,852,450	36,799,956	(52,494)	(0.1)
Kansas Juvenile CC	19,367,017	21,071,065	21,146,621	75,556	0.4	21,071,065	21,146,621	75,556	0.4
Lansing CF	37,676,712	39,834,241	31,982,653	(7,851,588)	(19.7)	39,834,241	31,982,653	(7,851,588)	(19.7)
Larned CMHF	11,934,852	13,004,912	12,998,466	(6,446)	(0.0)	13,004,912	12,998,466	(6,446)	(0.0)
Norton CF	17,436,025	18,759,457	18,675,337	(84,120)	(0.4)	18,759,457	18,675,337	(84,120)	(0.4)
Topeka CF	16,959,328	18,073,875	19,262,242	1,188,367	6.6	18,073,875	18,145,395	71,520	0.4
Winfield CF	14,095,062	15,552,206	15,536,960	(15,246)	(0.1)	15,552,206	15,536,960	(15,246)	(0.1)
TOTAL	\$ 200,773,565	\$ 214,405,828	\$ 207,319,506	\$ (7,086,322)	(3.3)%	\$ 214,405,828	\$ 206,205,659	\$ (8,200,169)	(3.8)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 170,416,360	\$ 181,791,063	\$ 176,319,021	\$ (5,472,042)	(3.0)%	\$ 181,791,063	\$ 175,202,174	\$ (6,588,889)	(3.6)%
Contractual Services	20,158,873	22,197,785	21,551,163	(646,622)	(2.9)	22,197,785	21,551,163	(646,622)	(2.9)
Commodities	8,620,639	10,141,880	9,236,522	(905,358)	(8.9)	10,141,880	9,236,522	(905,358)	(8.9)
Capital Outlay	1,552,000	260,600	212,300	(48,300)	(18.5)	260,600	212,300	(48,300)	(18.5)
Debt Service	0	0	0	0	--	0	0	0	--
<i>Subtotal - Operations</i>	<i>\$ 200,747,872</i>	<i>\$ 214,391,328</i>	<i>\$ 207,319,006</i>	<i>\$ (7,072,322)</i>	<i>(3.3)%</i>	<i>\$ 214,391,328</i>	<i>\$ 206,202,159</i>	<i>\$ (8,189,169)</i>	<i>(3.8)%</i>
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	25,693	14,500	500	(14,000)	(96.6)	14,500	500	(14,000)	(96.6)
TOTAL	\$ 200,773,565	\$ 214,405,828	\$ 207,319,506	\$ (7,086,322)	(3.3)%	\$ 214,405,828	\$ 206,202,659	\$ (8,203,169)	(3.8)%
Financing:									
State General Fund	\$ 199,287,135	\$ 212,502,606	\$ 205,493,181	\$ (7,009,425)	(3.3)%	\$ 212,502,606	\$ 204,376,334	\$ (8,126,272)	(3.8)%
Federal Funds	706,566	657,043	657,557	514	0.1	657,043	657,557	514	0.1
All Other Funds	779,864	1,246,179	1,168,768	(77,411)	(6.2)	1,246,179	1,168,768	(77,411)	(6.2)
TOTAL	\$ 200,773,565	\$ 214,405,828	\$ 207,319,506	\$ (7,086,322)	(3.3)%	\$ 214,405,828	\$ 206,202,659	\$ (8,203,169)	(3.8)%

L. Ellsworth Correctional Facility

Planning for the Ellsworth Correctional Facility (ECF) began in 1986 in response to the need for additional bed space due to a rapidly increasing inmate population. The first inmate was received in August 1988. Expansion projects were completed in the 632-bed medium- and minimum-custody facility for male offenders in 1995 at a total construction cost of \$19.7 million.

The 2000 Legislature approved expenditures of \$6.1 million for the construction of a 100-cell housing unit at ECF. Due to the need for medium-custody beds, the DOC has opted to house 200 medium-custody inmates in the unit that was completed in May 2002. Also constructed during this time, using Violent Offender Incarceration/Truth in Sentencing funds and 10.0 percent state matching funds, was the expansion (completed in March 2002) of the existing warehouse, a Staff Development building completed in September 2002, and an Industrial Building completed in November 2002.

In June 2002, the InnerChange Freedom Initiative (IFI) was transferred from the Winfield Correctional Facility to ECF. Working with the State of Kansas and Prison Fellowship, IFI advances the DOC objectives of rehabilitation and recidivism reduction by exposing offenders to work, education, support groups, and victim-offender reconciliation.

In July 2012, KDOC purchased ten acres of land that included a property that is now the East Unit, which provides 95 minimum-security beds. The purchase allowed ECF to move minimum-custody offenders outside the Central Unit.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	7	4	7	8	8	8
Number of Inmate Assaults on Staff	1	3	6	7	7	7
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 14.4	\$ 14.8	\$ 15.6	\$ 15.5	\$ 17.1	\$ 16.9
FTE Positions	235.0	235.0	235.0	235.0	234.0	234.0

**ELLSWORTH CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 12,855,616	\$ 14,516,088	\$ 14,516,088	\$ 14,407,320	\$ 14,407,320
Contractual Services	1,540,474	1,586,527	1,586,527	1,604,470	1,604,470
Commodities	954,592	1,031,958	1,031,958	931,902	931,902
Capital Outlay	175,073	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 15,525,755</u>	<u>\$ 17,134,573</u>	<u>\$ 17,134,573</u>	<u>\$ 16,943,692</u>	<u>\$ 16,943,692</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	286	0	0	0	0
TOTAL	<u>\$ 15,526,041</u>	<u>\$ 17,134,573</u>	<u>\$ 17,134,573</u>	<u>\$ 16,943,692</u>	<u>\$ 16,943,692</u>
Financing:					
State General Fund	\$ 15,504,186	\$ 17,037,852	\$ 17,037,852	\$ 16,858,892	\$ 16,858,892
All Other Funds	21,855	96,721	96,721	84,800	84,800
TOTAL	<u>\$ 15,526,041</u>	<u>\$ 17,134,573</u>	<u>\$ 17,134,573</u>	<u>\$ 16,943,692</u>	<u>\$ 16,943,692</u>
FTE Positions	235.0	234.0	234.0	234.0	234.0

The **agency** estimates FY 2020 revised operating expenditures of \$17.1 million, including \$17.0 million from the State General Fund, for ECF. The revised estimate is an all funds increase of \$1.4 million, or 8.9 percent, and a State General Fund increase of \$1.4 million, or 8.7 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$1.3 million), including correctional officer pay increases approved by the 2019 Legislature. The increase is also attributable to increased expenditures for commodities (\$82,466), which include uniforms, security equipment, and supplies. The revised estimate includes 234.0 FTE positions, which is a decrease of 1.0 FTE position, or 0.4 percent, below the approved number.

The agency estimates FY 2020 revised capital improvements expenditures of \$213,512, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate

The **agency** requests FY 2021 operating expenditures of \$16.9 million, including \$16.9 million from the State General Fund, for ECF. The request is an all funds decrease of \$190,881, or 1.1 percent, and a State General Fund decrease of \$178,960, or 1.1 percent, below the FY 2020 revised estimate. The decrease in salaries and wages (\$108,768) is mainly attributable to reappropriations applied for FY 2020, but not available for FY 2021. The decrease is also attributable to a decrease in commodities (\$100,056), including uniforms, security equipment, and supplies. The request includes 234.0 FTE, which is unchanged from the revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

M. El Dorado Correctional Facility

The 1989 Legislature authorized the construction of the El Dorado Correctional Facility (EDCF) at a cost of \$58.0 million. Initially built with a capacity of 640 beds, a multi-housing dormitory was added in 1995 and two additional cell houses were added in 2001 at a cost of \$17.5 million, bringing the capacity at the Central Unit to 1,365 inmates. The total capacity of EDCF for FY 2020 was at 1,955.

EDCF houses special management and maximum-, medium-, and minimum-custody inmates. Two of the Central Unit's five cell houses are designated for long-term involuntary segregation inmates in single cells. For security and control reasons, these inmates cannot be maintained in general population status at any other correctional facility. A third Central Unit cell house is available as needed for segregation purposes and the Intensive Management Unit (IMU) was added in January 2003. The addition divides the cell house's inmate capacity equally between segregation inmates and IMU inmates. The other two Central Unit cell houses provide medium-custody beds.

The Reception and Diagnostic Unit (RDU) became the state's central intake point for all male offenders committed to the custody of the Secretary of Corrections. Inmates are classified at the maximum-custody level until they are processed, evaluated, classified, and designated to be delivered to one of the state's correctional facilities. The transfer of the RDU center from the Topeka Correctional Facility began in 1998 when the Legislature appropriated funds to plan construction of two new housing units and the RDU transfer. The effort continued until 2002 when full-year RDU funding was placed in the EDCF budget.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	29	6	12	63	38	40
Number of Inmate Assaults on Staff	62	159	200	117	122	135
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 29.1	\$ 30.6	\$ 31.2	\$ 32.8	\$ 34.1	\$ 34.0
FTE Positions	485.0	486.0	486.0	486.0	486.0	486.0

**EL DORADO CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 28,152,525	\$ 28,431,064	\$ 28,431,064	\$ 28,504,969	\$ 28,504,969
Contractual Services	2,830,012	3,139,231	3,139,231	3,169,376	3,169,376
Commodities	1,584,291	2,538,754	2,538,754	2,299,234	2,299,234
Capital Outlay	261,373	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 32,828,201</u>	<u>\$ 34,109,049</u>	<u>\$ 34,109,049</u>	<u>\$ 33,973,579</u>	<u>\$ 33,973,579</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	13,899	14,000	1,400	0	0
TOTAL	<u>\$ 32,842,100</u>	<u>\$ 34,123,049</u>	<u>\$ 34,110,449</u>	<u>\$ 33,973,579</u>	<u>\$ 33,973,579</u>
Financing:					
State General Fund	\$ 32,842,722	\$ 34,060,773	\$ 34,060,773	\$ 33,963,579	\$ 33,963,579
All Other Funds	(622)	62,276	62,276	10,000	10,000
TOTAL	<u>\$ 32,842,100</u>	<u>\$ 34,123,049</u>	<u>\$ 34,123,049</u>	<u>\$ 33,973,579</u>	<u>\$ 33,973,579</u>
FTE Positions	486.0	486.0	486.0	486.0	486.0

The **agency** estimates FY 2020 revised operating expenditures of \$34.1 million, including \$34.1 million from the State General Fund, for EDCF. The revised estimate is an all funds decrease of \$4.9 million, or 12.6 percent, and a State General Fund decrease of \$4.9 million, or 12.7 percent, below the FY 2020 approved amount. The decrease is attributable to a transfer of \$5.5 million to the DOC Central Office for consolidated management of inmate outsourcing to non-state facilities. The decrease is partially offset by increased expenditures for salaries and wages (\$717,722), including correctional officer pay increases approved by the Legislature in 2019, and commodities (\$161,234) for correctional officer uniforms, security equipment, and supplies. The revised estimate includes 486.0 FTE positions, which is unchanged from the approved number.

The agency estimates FY 2020 revised capital improvements expenditures of \$456,483, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$34.0 million, including \$34.0 million from the State General Fund, for EDCF. The request is an all funds decrease of \$149,470, or 0.4 percent, and a State General Fund decrease of \$97,194, or 0.3 percent, below the FY 2020 revised estimate. The decrease is attributable to reduced expenditures for security equipment (\$135,212), clothing (\$52,276), and supplies (34,961). The decrease is partially offset by increased expenditures for group health insurance (\$170,532). The request includes 486.0 FTE positions, which is unchanged from the FY 2020 revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

N. Hutchinson Correctional Facility

The history of Hutchinson Correctional Facility (HCF) dates to 1885 when the Kansas Legislature appropriated \$1.0 million for the Kansas State Industrial Reformatory. Modeled after the Elmira Reformatory of New York, the facility's first cell house, Cell House A, was completed in 1898, which contained 200 single occupancy cells, each 40 square feet in size.

Currently, HCF is a 1,862-bed, multi-unit correctional facility housing male inmates at the minimum-, medium-, and maximum-security levels. The Central Unit houses maximum- and medium-custody inmates in the traditional walled and secure prison environment. The East Unit is a medium-custody, fenced-in facility with several treatment programs, including the Industrial program that provides a number of inmate jobs. The South Unit is a minimum-security facility providing community service to the City of Hutchinson and other eligible organizations, including several duties for HCF. The purpose of the Work Release Unit, opened in 1972 and now contained in the South Unit, is to place inmates in a community job to facilitate their transitions from HCF to society.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	258	331	246	262	240	240
Number of Inmate Assaults on Staff	72	143	36	160	150	150
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 32.1	\$ 32.1	\$ 33.6	\$ 34.9	\$ 36.9	\$ 36.8
FTE Positions	507.0	507.0	507.0	507.0	507.0	507.0

HUTCHINSON CORRECTIONAL FACILITY SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 30,748,416	\$ 32,417,737	\$ 34,417,737	\$ 32,387,058	\$ 32,387,058
Contractual Services	2,743,482	2,831,849	2,831,849	2,891,319	2,891,319
Commodities	1,314,701	1,602,864	1,602,864	1,521,579	1,521,579
Capital Outlay	122,440	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 34,929,039</i>	<i>\$ 36,852,450</i>	<i>\$ 38,852,450</i>	<i>\$ 36,799,956</i>	<i>\$ 36,799,956</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	7,389	0	0	0	0
TOTAL	\$ 34,936,428	\$ 36,852,450	\$ 38,852,450	\$ 36,799,956	\$ 36,799,956
Financing:					
State General Fund	\$ 34,801,142	\$ 36,639,333	\$ 36,639,333	\$ 36,586,671	\$ 36,586,671
All Other Funds	135,286	213,117	213,117	213,285	213,285
TOTAL	\$ 34,936,428	\$ 36,852,450	\$ 36,852,450	\$ 36,799,956	\$ 36,799,956
FTE Positions	507.0	507.0	507.0	507.0	507.0

The **agency** estimates FY 2020 revised operating expenditures of \$36.9 million, including \$36.6 million from the State General Fund, for HCF. The revised estimate is an all funds increase of \$3.2 million, or 9.5 percent, and a State General Fund increase of \$3.2 million, or 9.5 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$3.0 million), including correctional officer pay increases approved by the Legislature in 2019, and commodities (\$127,055) for uniforms, safety equipment, and replacement of an inmate transport van. The revised estimate includes 507.0 FTE positions, which is unchanged from the approved amount.

The agency estimates FY 2020 revised capital improvements expenditures of \$1.3 million, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$36.8 million, including \$36.6 million from the State General Fund, for HCF. The request is an all funds decrease of \$52,494, or 0.1 percent, and a State General Fund decrease of \$52,662, or 0.1 percent, below the FY 2020 revised estimate. The decrease is attributable to a reduction in commodities (\$81,285), primarily for security equipment, offset by an increase in contractual services (\$59,740), primarily for water, natural gas, and electricity. The request includes 507.0 FTE positions, which is unchanged from the revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

O. Kansas Juvenile Correctional Complex

The state's first institution for juvenile rehabilitation was established in Topeka. In 1879, the Legislature provided for the selection of a site for a "state reform school," under the control of State Charitable Institutions, with statutory authority contained in KSA 76-2101 *et seq.* Subsequent name changes in 1901 and 1974 occurred, and the facility became known as the "Youth Center at Topeka" until the Juvenile Justice Authority assumed responsibility for operations in July 1997. Executive Reorganization Order No. 42, which became effective July 1, 2013, abolished the Juvenile Justice Authority and moved control of the facility to the DOC. In 2017, Larned Juvenile Correctional Facility closed and all committed juvenile offenders in Kansas were moved to the Kansas Juvenile Correctional Complex (KJCC).

The KJCC is the only juvenile correctional facility in the DOC and provides maximum- and medium-security beds for the following individuals:

- Adjudicated juveniles committed as juvenile offenders;
- Extended jurisdiction juveniles;
- Youth committed to the Secretary of Corrections; and
- Juvenile felons between the age of 10 and 23.

The KJCC facility is divided into an east campus and a west campus and is composed of five general areas: the diagnostic/classification area, maximum- and medium-security housing for male residents, secure female housing in the stand-alone Q/R/S/T building on the east campus, central programs areas, and administrative/support areas. All facility components are located within a secure perimeter fence, with the exception of administration and some general service areas. The facility is projected to operate 167 beds in FY 2020.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Juvenile Batteries	130	145	N/A	171	165	154
Number of Juvenile Assaults on Staff	88	55	N/A	40	35	32
Percent of Juveniles Who Successfully Complete Substance Abuse Treatment	64.0 %	85.0 %	87.0 %	69.0 %	80.0 %	85.0 %
Percent of Juveniles Who Successfully Complete Sex Offender Treatment	82.0 %	87.0 %	90.0 %	94.0 %	95.0 %	97.0 %
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 17.2	\$ 18.7	\$ 21.0	\$ 19.4	\$ 21.0	\$ 21.1
FTE Positions	232.5	355.5	264.5	264.5	264.5	264.5

KANSAS JUVENILE CORRECTIONAL COMPLEX SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 13,391,490	\$ 15,105,138	\$ 15,105,138	\$ 15,189,194	\$ 15,189,194
Contractual Services	4,903,599	5,273,427	5,273,427	5,294,927	5,294,927
Commodities	466,451	450,200	450,200	450,200	450,200
Capital Outlay	605,010	242,300	242,300	212,300	212,300
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 19,366,550</i>	<i>\$ 21,071,065</i>	<i>\$ 21,071,065</i>	<i>\$ 21,146,621</i>	<i>\$ 21,146,621</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	467	0	0	0	0
TOTAL	\$ 19,367,017	\$ 21,071,065	\$ 21,071,065	\$ 21,146,621	\$ 21,146,621
Financing:					
State General Fund	\$ 18,784,415	\$ 20,548,845	\$ 20,548,845	\$ 20,642,421	\$ 20,642,421
All Other Funds	582,602	522,220	522,220	504,200	504,200
TOTAL	\$ 19,367,017	\$ 21,071,065	\$ 21,071,065	\$ 21,146,621	\$ 21,146,621
FTE Positions	264.5	264.5	264.5	264.5	264.5

The **agency** estimates FY 2020 revised operating expenditures of \$21.1 million, including \$20.5 million from the State General Fund, for KJCC. The revised estimate is an all funds decrease of \$1.7 million, or 7.3 percent, and a State General Funds decrease of \$1.7 million, or 7.6 percent, below the FY 2020 approved amount. The decrease is attributable to a decrease in salaries and wages (\$1.4 million) due to an increased shrinkage rate of 13.0 percent, and contractual services (\$117,705), primarily for utilities expenditures. The decrease is also attributable to lower expenditures for commodities (\$95,512), including power plant components, supplies, and clothing. The revised estimate includes 264.5 FTE positions, which is unchanged from the approved number.

The agency estimates FY 2020 revised capital improvements expenditures of \$1.1 million, all from the State Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$21.1 million, including \$20.6 million from the State General Fund, for KJCC. The request is an all funds increase of \$75,556, or 0.4 percent, and a State General Fund increase of \$93,576, or 0.5 percent, above the FY 2020 revised estimate. The increase is attributable to increased salaries and wages (\$84,056) and contractual services (\$21,500), primarily for professional education services. The increase is partially offset by a decrease in capital outlay (\$30,000), including expenditures for radio equipment. The request includes 265.6 FTE positions, which is unchanged from the revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request. However, the Governor recommends that operations of KJCC be placed under the purview of the newly created Department of Human Services, as part of the Governor's Executive Reorganization Order beginning in FY 2021.

P. Lansing Correctional Facility

Lansing Correctional Facility (LCF), authorized by the *Kansas Constitution* in 1859 as the Kansas State Penitentiary, is the state's largest facility for the incarceration and rehabilitation of adult male offenders at the minimum-, medium-, and maximum-custody levels. In 1990, the name of the Kansas State Penitentiary was changed to Lansing Correctional Facility. During FY 1995, all female inmates at LCF were transferred to the Topeka Correctional Facility.

LCF is composed of two units with a total capacity of 1,906 inmates and covers 2,537 acres. Before being razed in FY 2017, the Central Unit used to include a medium-security facility that housed 743 beds, and in its place a new maximum- and medium-security facility is being built with a scheduled completion in 2020. LCF also houses on its grounds the headquarters of Kansas Correctional Industries, as well as many of the Kansas Correctional Industries manufacturing shops.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	83	60	60	89	83	88
Number of Inmate Assaults on Staff	3	3	3	2	2	4
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 42.6	\$ 38.6	\$ 40.4	\$ 37.7	\$ 39.8	\$ 32.0
FTE Positions	682.0	684.0	685.0	685.0	525.0	406.0

**LANSING CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 32,703,830	\$ 34,148,321	\$ 34,148,321	\$ 27,315,924	\$ 27,315,924
Contractual Services	3,453,279	4,111,013	4,111,013	3,305,930	3,305,930
Commodities	1,451,498	1,556,607	1,556,607	1,360,799	1,360,799
Capital Outlay	65,879	18,300	18,300	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 37,674,486</u>	<u>\$ 39,834,241</u>	<u>\$ 39,834,241</u>	<u>\$ 31,982,653</u>	<u>\$ 31,982,653</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	2,226	0	0	0	0
TOTAL	<u>\$ 37,676,712</u>	<u>\$ 39,834,241</u>	<u>\$ 39,834,241</u>	<u>\$ 31,982,653</u>	<u>\$ 31,982,653</u>
Financing:					
State General Fund	\$ 37,556,729	\$ 39,714,241	\$ 39,714,241	\$ 31,862,653	\$ 31,862,653
All Other Funds	119,983	120,000	120,000	120,000	120,000
TOTAL	<u>\$ 37,676,712</u>	<u>\$ 39,834,241</u>	<u>\$ 39,834,241</u>	<u>\$ 31,982,653</u>	<u>\$ 31,982,653</u>
FTE Positions	685.0	525.5	525.0	406.0	406.0

The **agency** estimates FY 2020 revised operating expenditures of \$39.8 million, including \$39.7 million from the State General Fund, for LCF. The revised estimate is an increase of \$3.4 million, or 9.4 percent, all from the State General Fund, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$3.4 million), including correctional officer pay increases approved by the Legislature in 2019, and contractual services (\$293,698), primarily for increased utilities as operations migrate from the old facility to the new facility. The increase is partially offset by a decrease in commodities (\$252,423), including building and grounds material, supplies, and uniforms. The revised estimate include 525.5 FTE positions, which is a decrease of 159.5 positions, or 23.3 percent, below the approved number. The position decrease is mainly in Security and Support Services and is partly the result of migration to the newly built facility.

The agency estimates FY 2020 revised capital improvements expenditures of \$954,721, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$32.0 million, including \$32.0 million from the State General Fund, for LCF. The request is a decrease of \$7.9 million, or 19.7 percent, all from the State General Fund, below the FY 2020 revised estimate. This decrease is mainly in salaries and wages (\$6.8 million), attributable to a continued staff reduction plan as operations migrate to the new facility. The decrease is also attributable to a decrease in contractual services (\$805,083), mainly including reduced expenditures for utilities. The request includes 406.0 FTE positions, which is a decrease of 119.5 positions, or 22.7 percent, below the FY 2020 revised estimate. The position decrease is mainly in Security and Support Services and is the result of continued migration to the new facility.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

Q. Larned Correctional Mental Health Facility

Larned Correctional Mental Health Facility (LCMHF) was constructed in 1989 to bring the State into compliance with a U.S. District Court order that mandated meeting the long-term needs of mentally ill inmates. LCMHF's Central Unit contains 150 beds with future expansion capabilities to 310 beds, and houses a Youthful Offender Program, which places an emphasis on education and social skills for offenders 25 years old and younger. In addition, the West Unit contains 288 minimum-custody beds, mostly for male inmates who provide work detail labor for Larned State Hospital and local communities. In recent years, all mental health treatment capabilities were migrated to the El Dorado Correctional Facility; however, the Larned Correctional Mental Health Facility remains the statutory institutional name.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	44	28	35	33	35	35
Number of Inmate Assaults on Staff	114	41	60	32	40	40
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 11.0	\$ 11.2	\$ 11.8	\$ 11.9	\$ 13.0	\$ 13.0
FTE Positions	186.0	187.0	187.0	187.0	189.0	189.0

LARNED CORRECTIONAL MENTAL HEALTH FACILITY SUMMARY OF EXPENDITURES FY 2019 – FY 2021					
Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 10,655,204	\$ 11,672,034	\$ 11,672,034	\$ 11,730,814	\$ 11,730,814
Contractual Services	532,458	586,568	586,568	593,225	593,225
Commodities	686,825	746,310	746,310	674,427	674,427
Capital Outlay	60,273	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<i>\$ 11,934,760</i>	<i>\$ 13,004,912</i>	<i>\$ 13,004,912</i>	<i>\$ 12,998,466</i>	<i>\$ 12,998,466</i>
Aid to Local Units	0	0	0	0	0
Other Assistance	92	0	0	0	0
TOTAL	\$ 11,934,852	\$ 13,004,912	\$ 13,004,912	\$ 12,998,466	\$ 12,998,466
Financing:					
State General Fund	\$ 11,934,986	\$ 13,004,912	\$ 13,004,912	\$ 12,998,466	\$ 12,998,466
All Other Funds	(134)	0	0	0	0
TOTAL	\$ 11,934,852	\$ 13,004,912	\$ 13,004,912	\$ 12,998,466	\$ 12,998,466
FTE Positions	187.0	189.0	189.0	189.0	189.0

The **agency** estimates FY 2020 revised operating expenditures of \$13.0 million, all from the State General Fund, for LCMHF. This is an increase of \$1.2 million, or 10.4 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$1.2 million), including correctional officer pay increases approved by the 2019 Legislature, and increased expenditures for commodities (\$134,955), such as uniforms, security equipment, and supplies. The increase is partially offset by a decrease in contractual services (\$68,908), including expenditures for electricity and postage. The revised estimate includes 189.0 FTE

positions, which is an increase of 2.0 FTE positions, or 1.1 percent, above the approved amount. The position increase is within Support Services.

The agency estimates FY 2020 revised capital improvements expenditures of \$163,341, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$13.0 million, all from the State General Fund, for LCMHF. This is a decrease of \$6,446, or less than 0.1 percent, below the FY 2020 revised estimate. The decrease is attributable to a decrease in commodities (\$71,883), including security equipment and uniforms, partially offset by increased expenditures for salaries and wages (\$58,780), including employer contributions to group health insurance and correctional officer retirement. The request includes \$189.0 FTE positions, which is unchanged from the FY 2020 revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

R. Norton Correctional Facility

The Norton Correctional Facility (NCF) opened in 1987. NCF includes a 707-bed facility that houses male offenders and occupies the buildings of the former Norton State Hospital and a 112-bed facility in Stockton that was converted from a farm implement dealership building. In FY 2004, the Stockton facility added 16 beds, raising its capacity to 128. NCF currently has medium- and minimum-security beds with a total capacity of 975. Kansas Correctional Industries (KCI) has partnered with four private industry employers where a total of 80 NCF offenders are employed.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	12	6	N/A	7	N/A	N/A
Number of Inmate Assaults on Staff	N/A	1	N/A	0	N/A	N/A
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 16.0	\$ 16.5	\$ 17.0	\$ 17.4	\$ 18.8	\$ 18.7
FTE Positions	264.0	264.0	264.0	264.0	264.0	264.0

**NORTON CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 15,182,742	\$ 16,165,069	\$ 16,165,069	\$ 16,230,581	\$ 16,230,581
Contractual Services	1,231,825	1,664,649	1,664,649	1,600,556	1,600,556
Commodities	913,927	929,239	929,239	843,700	843,700
Capital Outlay	107,454	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 17,435,948</u>	<u>\$ 18,758,957</u>	<u>\$ 18,758,957</u>	<u>\$ 18,674,837</u>	<u>\$ 18,674,837</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	77	500	500	500	500
TOTAL	<u>\$ 17,436,025</u>	<u>\$ 18,759,457</u>	<u>\$ 18,759,457</u>	<u>\$ 18,675,337</u>	<u>\$ 18,675,337</u>
Financing:					
State General Fund	\$ 17,186,443	\$ 18,558,983	\$ 18,558,983	\$ 18,472,944	\$ 18,472,944
All Other Funds	249,582	200,474	200,474	202,393	202,393
TOTAL	<u>\$ 17,436,025</u>	<u>\$ 18,759,457</u>	<u>\$ 18,759,457</u>	<u>\$ 18,675,337</u>	<u>\$ 18,675,337</u>
FTE Positions	264.0	264.0	264.0	264.0	264.0

The **agency** estimates FY 2020 revised operating expenditures of \$18.8 million, including \$18.6 million from the State General Fund, for NCF. The revised estimate is an all funds increase of \$1.7 million, or 10.1 percent, and a State General Fund increase of \$1.7 million, or 10.1 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$1.5 million), including correctional officer pay increases approved by the 2019 Legislature, and increased expenditures for commodities (\$276,339), such as maintenance construction material, uniforms, and supplies. The revised estimate includes 264.0 FTE positions, which is unchanged from the approved number.

The agency estimates FY 2020 revised capital improvements expenditures of \$245,350, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$18.7 million, including \$18.5 million from the State General Fund, for NCF. The request is an all funds decrease of \$84,120, or 0.4 percent, and a State General Fund decrease of \$86,039, or 0.5 percent, below the FY 2020 revised estimate. The decrease is mainly attributable to a decrease in expenditures for commodities (\$85,537), including security equipment and maintenance construction material. The request includes 264.0 FTE positions, which is unchanged from the revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

S. Topeka Correctional Facility

The Topeka Correctional Facility (TCF) dates back to 1961 when legislative action provided the Director of Penal Institutions the authority to convert facilities of the Topeka Technical College into a State Reception and Diagnostic Center (SRDC) with the primary function to perform evaluations of convicted offenders sentenced to the Kansas State Penitentiary and the Kansas State Industrial Reformatory. Inmate work crews from the Penitentiary performed the renovation and the first inmates were received in early 1962.

Until March 2001, the Reception and Diagnostic Unit (RDU), also located on the grounds adjacent to the Central Unit, served as the state's central intake point for all male offenders committed to the custody of the Secretary of Corrections. The 1999 Legislature appropriated funds and bonding authority of \$16.0 million for the construction of two housing units and the transfer of the functions of the RDU for male inmates to the El Dorado Correctional Facility. This relocation resulted in TCF offender population being all female.

TCF is currently composed of two housing compounds, which together house all of the state's incarcerated female offenders. The A-G Compound, formerly the Topeka Technical College, consists of six minimum/medium-security dormitories and currently houses up to 588 female inmates. The I-J Compound, a medium/maximum-security compound located on the grounds of what was previously the SRDC, currently has a capacity of 365. TCF's total capacity is 903.

PERFORMANCE MEASURES						
<u>Measure</u>	<u>Actual FY 2017</u>	<u>Actual FY 2018</u>	<u>Gov. Rec. FY 2019</u>	<u>Actual FY 2019</u>	<u>Gov. Rec. FY 2020</u>	<u>Gov. Rec. FY 2021</u>
Number of Inmate Batteries	33	41	39	49	49	48
Number of Inmate Assaults on Staff	2	1	0	14	2	N/A
<u>Agency Expenditures</u>						
All Funds (Dollars in Millions)	\$ 16.2	\$ 15.4	\$ 16.4	\$ 17.0	\$ 18.1	\$ 18.1
FTE Positions	263.0	263.0	262.0	262.0	260.0	284.0

**TOPEKA CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 15,046,521	\$ 16,324,973	\$ 16,324,973	\$ 17,543,954	\$ 16,427,107
Contractual Services	1,135,735	1,127,573	1,127,573	1,133,827	1,133,827
Commodities	721,074	621,329	621,329	584,461	584,461
Capital Outlay	54,774	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 16,958,104</u>	<u>\$ 18,073,875</u>	<u>\$ 18,073,875</u>	<u>\$ 19,262,242</u>	<u>\$ 18,145,395</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	1,224	0	0	0	0
TOTAL	<u>\$ 16,959,328</u>	<u>\$ 18,073,875</u>	<u>\$ 18,073,875</u>	<u>\$ 19,262,242</u>	<u>\$ 18,145,395</u>
Financing:					
State General Fund	\$ 16,567,054	\$ 17,735,694	\$ 17,735,694	\$ 18,923,587	\$ 17,806,740
All Other Funds	392,274	338,181	338,181	338,655	338,655
TOTAL	<u>\$ 16,959,328</u>	<u>\$ 18,073,875</u>	<u>\$ 18,073,875</u>	<u>\$ 19,262,242</u>	<u>\$ 18,145,395</u>
FTE Positions	262.0	260.0	260.0	282.0	284.0

The **agency** estimates FY 2020 revised operating expenditures of \$18.1 million, including \$17.7 million from the State General Fund, for TCF. This is an all funds increase of \$1.6 million, or 10.0 percent, and a State General Fund increase of \$1.6 million, or 10.3 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$1.8 million), including correctional officer pay increases approved by the Legislature in 2019. The increase is partially offset by decreased contractual services (\$60,857) for cell phones, travel, and inmate incentives, and commodities (\$116,767), including building and grounds material, clothing, and supplies. The revised estimate includes 260.0 FTE positions, which is a decrease of 2.0 FTE positions, or 0.8 percent, below the approved number. The position decrease is mainly in the Support Services program.

The agency estimates FY 2020 revised capital improvements expenditures of \$261,423, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 operating expenditures of \$19.3 million, including \$18.9 million from the State General Fund, for TCF. This is an all funds increase of \$1.2 million, or 6.6 percent, and a State General Fund increase of \$1.2 million, or 6.7 percent, above the FY 2020 revised estimate. The increase is attributable to increased expenditures for salaries and wages (\$1.2 million), offset by a decrease in expenditures for commodities (\$36,868), including security equipment and supplies. The request includes 282.0 FTE positions, which is an increase of 22 FTE positions, or 8.5 percent, above the FY 2020 revised estimate. The position increase is mainly for correctional officers in the Security program.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** recommends FY 2021 operating expenditures of \$18.1 million, including \$17.8 million from the State General Fund, for TCF. This is a decrease of \$1.1 million, or 5.8

percent, all from the State General Fund, below the agency's FY 2021 request. The decrease is attributed to a decrease in salaries and wages (\$1.3 million) for reallocation to the Central Office for capital improvement expansion projects at the Winfield Correctional Facility and the Lansing Correctional Facility. The decrease is offset by an increase in salaries and wages (\$160,000) for the addition of 2.0 FTE positions in the Substance Abuse Recovery Program. The recommendation includes 284.0 FTE positions, which is an increase of 2.0 FTE positions above the agency's FY 2021 request.

T. Winfield Correctional Facility

The Winfield Correctional Facility (WCF) is a minimum-custody facility for male inmates established in 1984. During the 1995 Session, funds were appropriated to renovate two buildings formerly used by the Winfield State Hospital and Training Center. In September 1996, the DOC shifted the Wichita Work Release Facility and its budget from the DOC Central Office to the WCF office. The work release's 250-bed capacity increased the total capacity for the combined operations to 804. In 1997, the Legislature appropriated funds to renovate and reoccupy one of the WCF dormitories, which reopened in the same year. During FY 2004, 16 beds were added to C Dorm and 18 were added to B Dorm, bringing the total capacity to 554.

WFC maintains an interagency relationship with the nearby Kansas Veterans' Home (KVH), which became operational in 2000. WFC provides grounds maintenance and security services, and funds utility expenses for KVH.

PERFORMANCE MEASURES						
Measure	Actual FY 2017	Actual FY 2018	Gov. Rec. FY 2019	Actual FY 2019	Gov. Rec. FY 2020	Gov. Rec. FY 2021
Number of Inmate Batteries	6	0	N/A	12	N/A	N/A
Number of Inmate Assaults on Staff	N/A	0	N/A	3	N/A	N/A
Agency Expenditures						
All Funds (Dollars in Millions)	\$ 13.2	\$ 13.5	\$ 14.3	\$ 14.1	\$ 15.6	\$ 15.5
FTE Positions	201.0	201.0	201.0	203.0	201.0	201.0

**WINFIELD CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2019 – FY 2021**

Item	Actual FY 2019	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Expenditures:					
Salaries and Wages	\$ 11,680,016	\$ 13,010,639	\$ 13,010,639	\$ 13,009,207	\$ 13,009,207
Contractual Services	1,788,009	1,876,948	1,876,948	1,957,533	1,957,533
Commodities	527,280	664,619	664,619	570,220	570,220
Capital Outlay	99,724	0	0	0	0
Debt Service	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 14,095,029</u>	<u>\$ 15,552,206</u>	<u>\$ 15,552,206</u>	<u>\$ 15,536,960</u>	<u>\$ 15,536,960</u>
Aid to Local Units	0	0	0	0	0
Other Assistance	33	0	0	0	0
TOTAL	<u>\$ 14,095,062</u>	<u>\$ 15,552,206</u>	<u>\$ 15,552,206</u>	<u>\$ 15,536,960</u>	<u>\$ 15,536,960</u>
Financing:					
State General Fund	14,109,458	15,201,973	15,201,973	15,183,968	15,183,968
All Other Funds	(14,396)	350,233	350,233	352,992	352,992
TOTAL	<u>\$ 14,095,062</u>	<u>\$ 15,552,206</u>	<u>\$ 15,552,206</u>	<u>\$ 15,536,960</u>	<u>\$ 15,536,960</u>
FTE Positions	203.0	201.0	201.0	201.0	201.0

The **agency** estimates FY 2020 revised operating expenditures of \$15.6 million, including \$15.2 million from the State General Fund, for WCF. The revised estimate is an all funds increase of \$1.2 million, or 8.6 percent, and a State General Fund increase of \$1.2 million, or 8.5 percent, above the FY 2020 approved amount. The increase is attributable to increased salaries and wages (\$1.3 million), including correctional officer pay increases approved by the Legislature in 2019, and commodities (\$78,854), including power plant supplies and security equipment. The increase is offset by a decrease in expenditures for contractual services (\$218,076), mainly for utilities. The revised estimate includes 201.0 FTE positions, which is unchanged from the approved amount.

The agency estimates FY 2020 revised capital improvements expenditures of \$209,342, all from the Correctional Institutions Building Fund. This is an increase of the same amount due to the 2019 Legislature not approving any capital improvements for this agency.

The **Governor** concurs with the agency's FY 2020 revised estimate.

The **agency** requests FY 2021 total operating expenditures of \$15.5 million, including \$15.2 million from the State General Fund, for WCF. The request is an all funds decrease of \$15,246, or 0.1 percent, and a State General Fund decrease of \$18,005, or 0.1 percent, below the FY 2020 revised estimate. The decrease is attributable to decreased expenditures for commodities (\$94,399), including security equipment and clothing, partially offset by increased expenditures for contractual services (\$80,585), mainly for utilities. The request includes 201.0 FTE positions, which is unchanged from the FY 2020 revised estimate.

The agency does not request capital improvements expenditures for FY 2021.

The **Governor** concurs with the agency's FY 2021 request.

CAPITAL IMPROVEMENTS

In addition to the State General Fund, three special revenue funds are utilized for capital improvements:

- The Correctional Industries Fund derives its revenue from the Kansas Correctional Industries program, which includes the sale of inmate-manufactured products to government and not-for-profit organizations. Most of the revenue is utilized for program needs, however a portion is transferred to the Central Office to support services and capital improvements;
- The Correctional Institutions Building Fund (CIBF) is dedicated to the upkeep of the adult correctional facilities. This fund is financed from a 10.0 percent annual transfer from the State Gaming Revenue Fund; and
- The State Institutions Building Fund (SIBF) is capitalized from property tax revenue. Funds from this account may be used for juvenile correctional facilities, but not adult facilities, in accordance with KSA 76-6b03.

CAPITAL IMPROVEMENTS				
Project	Agency Est. FY 2020	Gov. Rec. FY 2020	Agency Req. FY 2021	Gov. Rec. FY 2021
Central Office	\$ 10,693,200	\$ 15,282,418	\$ 6,082,000	\$ 15,290,190
Ellsworth CF	213,512	213,512	0	0
El Dorado CF	456,483	456,483	0	0
Hutchinson CF	1,260,924	1,260,924	0	0
Kansas Juvenile CC	1,100,110	1,100,110	0	0
Lansing CF	954,721	954,721	0	0
Larned CMHF	163,341	163,341	0	0
Norton CF	245,350	245,350	0	0
Topeka CF	261,423	261,423	0	0
Winfield CF	209,342	209,342	0	0
TOTAL	\$ 15,558,406	\$ 20,147,624	\$ 6,082,000	\$ 15,290,190
Financing:				
State General Fund	\$ 495,000	\$ 6,584,218	\$ 0	\$ 7,208,190
Correctional Industries Fund	3,235,060	1,735,060	800,000	2,300,000
Correctional Institutions Building Fund (CIBF)	5,888,123	5,888,123	4,782,000	5,782,000
State Institutions Building Fund (SIBF)	5,940,223	5,940,223	500,000	0
TOTAL	\$ 15,558,406	\$ 20,147,624	\$ 6,082,000	\$ 15,290,190

FY 2020 – Current Year. The agency estimates FY 2020 revised systemwide capital improvements expenditures for the **DOC System** of \$15.6 million, including \$495,000 from the State General Fund. The revised estimate is an all funds increase of \$5.5 million, or 54.7 percent, all from special revenue funds, above the FY 2020 approved amount. All capital improvement projects are in accordance with the agency's five-year capital improvement plan.

Major changes from the FY 2020 approved amount for capital improvements are outlined below by facility:

- **DOC Central Office.** The agency estimates FY 2020 revised capital improvements expenditures of \$10.7 million, including \$495,000 from the State General Fund. The estimate is an all funds increase of \$638,200, or 6.3 percent, all from special revenue funds, above the approved amount. The revised estimate includes debt service principal payments totaling \$4.8 million, including \$495,000 from the State General Fund, for final payment of two bonds. The estimate also includes rehabilitation and repair expenditures totaling \$2.7 million, all from special revenue funds, for projects in accordance with the agency's five-year capital improvement plan. The Central Office transferred \$3.7 million of the \$5.9 million in CIBF money to the facility agencies in the DOC System. This increase at the DOC Central Office is due primarily to the agency only transferring \$1.1 million of the \$5.9 million of SIBF money to facility agencies, resulting in the retention of \$580,113 above the FY 2020 approved amount for the transfer if needed;
- **Ellsworth Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$213,512, all from the CIBF. The revised estimate is an increase of \$213,512 above the approved amount. Major projects include replace of fuel storage tanks (\$100,000), replacement of windows at East Unit (\$60,000), and security camera upgrades (\$40,000);
- **El Dorado Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$456,483, all from the CIBF. The revised estimate is an increase of \$456,483 above the approved amount. Major projects include security camera system upgrades (\$197,821), replacement of boiler burners (\$189,000), and replacement of a sewer line (\$40,000);
- **Hutchinson Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$1.3 million, all from the CIBF. The revised estimate is an increase of \$1.3 million above the approved amount. Major projects include clinic renovation (\$1.0 million), security camera upgrades (\$130,250), and replacement of power plant pump (\$56,309);
- **Kansas Juvenile Correctional Complex.** The agency estimates FY 2020 revised capital improvements expenditures of \$1.1 million, all from the SIBF. The revised estimate is an increase of \$1.1 million above the approved amount. Major projects include roofing the main building (\$481,750), electronic security system upgrades (\$287,600), and security sliding doors replacement (\$181,074);
- **Lansing Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$954,721, all from the CIBF. The revised estimate is an increase of \$954,721 above the approved amount. Major projects include roofing (\$410,000), radios and repeaters replacement (\$219,282), and construction of exercise yard fencing (\$210,000);
- **Larned Correctional Mental Health Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$163,341, all from the CIBF. The revised estimate is an increase of \$163,341 above the approved amount. Major

projects include water softener system upgrades (\$59,300) and replacement of a sewer grinder (\$31,250);

- **Norton Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$245,350, all from the CIBF. The estimate is an increase of \$245,350 above the approved amount. Major projects include replacement of rooftop mechanical units (\$100,000), grease trap installation (\$86,000), and water softener system upgrades (\$59,350);
- **Topeka Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$261,423, all from the CIBF. The revised estimate is an increase of \$261,423 above the approved amount. Major projects include laundry facilities conversion (\$82,000), replacement of sally port gates (\$55,300), and HVAC upgrades (\$39,865); and
- **Winfield Correctional Facility.** The agency estimates FY 2020 revised capital improvements expenditures of \$209,342, all from the CIBF. The revised estimate is an increase of \$209,342 above the approved amount. Major projects include HVAC replacement (\$90,845) and water softener system upgrades (\$69,300).

The **Governor** recommends FY 2020 capital improvement expenditures for the **DOC System** of \$20.1 million, including \$6.6 million from the State General Fund. The recommendation is an all funds increase of \$4.6 million, or 29.5 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agency's FY 2020 revised estimate. All capital improvement projects are in accordance with the agency's five-year capital improvement plan. Major changes from the FY 2020 revised estimate for capital improvements are outlined below:

- **DOC Central Office.** The Governor recommends FY 2020 capital improvements expenditures for the DOC Central Office of \$15.3 million, including \$6.6 million from the State General Fund. This is an all funds increase of \$4.9 million, or 42.9 percent, and a State General Fund increase of \$6.1 million, or 1,230.1 percent, above the agency's FY 2020 revised estimate. The increase is attributable to the first year of expenditures totaling \$6.1 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse center at the Winfield Correctional Facility. The recommendation includes debt service principal payments totaling \$4.8 million, including \$495,000 from the State General Fund, for final payment of two bonds;
- **Ellsworth Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **El Dorado Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **Hutchinson Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;

- **Kansas Juvenile Correctional Complex.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **Lansing Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **Larned Correctional Mental Health Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **Norton Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020;
- **Topeka Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020; and
- **Winfield Correctional Facility.** The **Governor** concurs with the agency's revised estimate in FY 2020.

FY 2021 – Budget Year. The **agency** requests FY 2021 capital improvements expenditures for the **DOC System** of \$6.1 million, all from special revenue funds. The request is an all funds decrease of \$9.5 million, or 60.9 percent, and a State General Fund decrease of \$495,000, or 100.0 percent, below the FY 2020 revised estimate. The decrease is attributable to a decrease in debt service principal payments due to final payments of all bonds occurring in FY 2020. The decrease is also attributable to lower projected revenue to special revenue funds. Capital improvements expenditures for FY 2021 for facilities are planned and distributed at the discretion of the DOC Central Office.

The **Governor** recommends FY 2021 capital improvement expenditures for the **DOC System** of \$15.3 million, including \$7.2 million from the State General Fund. The recommendation is an all funds increase of \$9.2 million, or 151.4 percent, and a State General Fund increase of \$7.2 million, or 100.0 percent, above the agency's FY 2021 request. The increase is mainly due to the second year of expenditures totaling \$7.7 million for expansion projects that include a 200-bed substance abuse treatment center at the Lansing Correctional Facility and a 241-bed geriatric and substance abuse treatment center at the Winfield Correctional Facility. All capital improvement projects are in accordance with the agency's five-year capital improvement plan. The recommendation includes a decrease in expenditures from the SIBF (\$500,000) for capital improvements at the Kansas Juvenile Correctional Complex due to the agency's transfer to the newly created Department of Human Services, as part of the Governor's Executive Reorganization Order.